

## Giornate di Geometria Algebrica ed Argomenti Correlati XII (Torino, 2014)

### Numeri di Chern fra topologia e geometria birazionale

(Work in progress with P. Cascini)

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# Set-up

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## Hirzebruch (1954)

Which linear combinations of Chern numbers are topologically invariant?

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- $\dim X = 3$ . By Hirzebruch-Riemann-Roch we have

$$\left| \frac{1}{24} c_1 c_2 \right| = |\chi(\mathcal{O}_X)| = |1 - h^{1,0} + h^{2,0} - h^{3,0}| \leq 1 + b_1 + b_2 + b_3.$$

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## Question (Kotshick)

Does  $K_X^3 = -c_1^3$  take only finitely many values on projective algebraic structures with the same underlying 6-manifold?



# The volume

If  $X$  is a variety of dimension  $n$ , then the volume of  $X$  is defined as

$$\text{vol}(X) := \limsup_{m \rightarrow +\infty} \frac{n! h^0(X, mK_X)}{m^n},$$

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## Theorem 1

*Let  $X$  be a smooth projective 3-fold of general type. Then*

$$\text{vol}(X) \leq 64(b_1(X) + b_3(X) + b_2(X)).$$

*The volume takes finitely many values on projective algebraic structures of general type with the same underlying 6-manifold.*

## MMP

Let  $X$  be a smooth 3-fold of general type. Then there exists a sequence of birational maps

$$X = X_0 \dashrightarrow X_1 \dashrightarrow \cdots \dashrightarrow X_m = Y$$

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$Y$  is called a minimal model of  $X$ . Note that

$$\text{vol}(K_Y) = K_Y^3.$$

# Proof of Theorem 1 I

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- B.M.Y. inequality for minimal model of general type (Tian-Wang 2011):

$$K_Y^{n-2} \cdot \left( K_Y^2 - 2 \frac{n+1}{n} c_2(Y) \right) \leq 0 \Rightarrow K_Y^3 \leq \frac{8}{3} K_Y \cdot c_2(Y)$$

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- Riemann-Roch for terminal 3-folds (Kawamata, Reid):

$$\chi(Y, \mathcal{O}_Y) = -\frac{1}{24} K_Y \cdot c_2(Y) + \sum_{p \in \mathcal{B}(Y)} \frac{r(p)^2 - 1}{24r(p)}$$

## Proof of Theorem 1 II

- Hence

$$\begin{aligned}\operatorname{vol}(X) &= \operatorname{vol}(Y) = K_Y^3 \leq \frac{8}{3} K_Y \cdot c_2(Y) \\ &= \frac{8}{3} \left( -\chi(Y, \mathcal{O}_Y) + \sum_{p \in \mathcal{B}(Y)} \frac{r(p)^2 - 1}{24r(p)} \right).\end{aligned}$$

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- $-\chi(Y, \mathcal{O}_Y) = -\chi(X, \mathcal{O}_X) \leq b_1(X) + b_3(X).$

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- $-\chi(Y, \mathcal{O}_Y) = -\chi(X, \mathcal{O}_X) \leq b_1(X) + b_3(X)$ .
- Topological bound on the singularities of  $Y$  (Cascini-Zhang, 2012):

$$\sum_{p \in \mathcal{B}(Y)} \frac{r(p)^2 - 1}{r(p)} \leq 2b_2(X).$$

# The main theorem

To any threefold  $X$  we can associate an integral cubic form  $F_X \in \mathbb{Z}[x_1, \dots, x_b]$ , which comes from the trilinear intersection form

$$H^2(X, \mathbb{Z}) \times H^2(X, \mathbb{Z}) \times H^2(X, \mathbb{Z}) \rightarrow \mathbb{Z}.$$

Denote by  $\Delta_{F_X}$  the discriminant of  $F_X$ .

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## Theorem 2

*Let  $X$  be a smooth 3-fold of general type. Assume that  $\Delta_{F_X} \neq 0$  and that there is an MMP for  $X$  composed only by divisorial contractions to points and blow-downs to smooth curves. Then there exists a topological invariant  $D_X$  such that*

$$|K_X^3| \leq D_X.$$

# Strategy

Let  $X = X_0 \rightarrow X_1 \rightarrow \cdots \rightarrow X_m = Y$  be an MMP as in Theorem 2.



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- $K_Y^3 \leq 64(b_1(X) + b_3(X) + b_2(X))$ .
- At each step we want to bound

$$|K_{X_i}^3 - K_{X_{i+1}}^3|$$

with a topological invariant of  $X$ .

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- The number of steps is bounded by  $b_2$ . (With flips it is bounded by  $2b_2$ .)

# A quick remark

- $Z = \mathbb{P}^3$  and  $C$  a smooth rational curve of degree  $d$ .
- $\pi : W \rightarrow Z$  be blow-up along  $C$ .
- $b_1(W) = b_3(W) = b_5(W) = 0$ ,  $b_2(W) = b_4(W) = 2$ .

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- $b_1(W) = b_3(W) = b_5(W) = 0$ ,  $b_2(W) = b_4(W) = 2$ .
- $K_W^3 = K_Z^3 - 2K_Z \cdot C + 2 - 2g(C) = -62 + 8d$
- The Betti numbers are in general not enough to bound  $K_X^3$ .

# Divisorial contractions to curves I

Let  $f : W \rightarrow Z$  be a blow-down to a smooth curve with exceptional divisor  $E$ .

- $K_W = f^*K_Z + E$  and  $H^2(W, \mathbb{Z}) \cong \mathbb{Z}[E] \oplus H^2(Z, \mathbb{Z})$ .

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- Let  $E_1, \dots, E_n$  be the pull-back of a basis of  $H^2(Z, \mathbb{Z})$ .
- $E.E_i.E_j = E_{i|E}.E_{j|E} = 0$  and  $K_W^3 - K_Z^3 = -2E^3 + 6 - 6g(C)$ .

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- $E \cdot E_i \cdot E_j = E_{i|E} \cdot E_{j|E} = 0$  and  $K_W^3 - K_Z^3 = -2E^3 + 6 - 6g(C)$ .
- Let  $x_0, \dots, x_n$  be coordinates on  $H^2(W, \mathbb{Z})$  with respect to  $E, E_1, \dots, E_n$ . Then

$$F_W(x_0, \dots, x_n) = ax_0^3 + 3x_0^2 \left( \sum_{i=1}^n b_i x_i \right) + F_Z(x_1, \dots, x_n),$$

where  $a = E^3$  and  $b_j \in \mathbb{Z}$ .

# An arithmetic result

## Theorem 3

*Let  $F \in \mathbb{Z}[x_0, \dots, x_n]$  be a cubic form such that  $\Delta_F \neq 0$ . Then, modulo the action of  $GL(\mathbb{Z}, n)$  on  $(x_1, \dots, x_n)$ , there are only finitely many triples  $(a, (b_1, \dots, b_n), G)$  such that  $a, b_i \in \mathbb{Z}$ ,  $G(x_1, \dots, x_n)$  is a cubic form and  $F$  can be written as*

$$F = ax_0^3 + \left(\sum b_i x_i\right)x_0^2 + G(x_1, \dots, x_n).$$

*Moreover  $\Delta_G \neq 0$ .*



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Moreover  $\Delta_G \neq 0$ .

Define the Skansens number of  $X$  as

$S_X := \sup\{|a| : F_X \text{ may be written in reduced form w.r.t. } (a, b, G)\}$ .

## Divisorial contractions to curves II

Let  $f : W \rightarrow Z$  be a blow-down to a smooth curve  $C$  of genus  $g$ .

- $\chi(W \setminus E) = \chi(Z \setminus C) \Rightarrow b_3(W) = b_3(Z) + 2g.$

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- The cubic form on  $Z$  is determined (up to finitely many possibilities) by the cubic form on  $W$ .

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- Using Kawakita's classification, we can prove that

$$0 < K_W^3 - K_Z^3 \leq 2^8 b_2^{2b_2},$$

where  $b_2 = b_2(X)$ .

# Divisorial contractions to points II

$f : W \rightarrow Z$  divisorial contraction to a point.

- $H^2(W, \mathbb{Q}) \cong \mathbb{Q}[E] \oplus H^2(Z, \mathbb{Q})$ , but  $H^2(W, \mathbb{Z})/H^2(Z, \mathbb{Z})$  may have torsion.



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- This torsion depends on the singularities of  $W$  and  $Z$ .
- Admitting rational coefficients with bounded denominators we have something like

$$F_W(x_0, \dots, x_n) = ax_0^3 + F_Z(x_1, \dots, x_n).$$

# Reduced forms

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- 2 To prove our result for binary and ternary cubics we need Siegel and Faltings theorems on the finiteness of integral and rational points on algebraic curves.

# A basic case I

Let  $F \in \mathbb{Z}[x, y, z]$  be a ternary cubic such that  $\Delta \neq 0$ . The algebra of the invariants of  $F$  (under the action of  $SL(3, \mathbb{Z})$ ) is generated by two polynomials  $S$  and  $T$  in the coefficients of  $F$  and

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- 1 We can assume that  $G$  (modulo  $SL(2, \mathbb{Z})$ ) is fixed.
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- ③ We need to prove that there are only finitely many  $a, b, c \in K$  such that

$$F = ax^3 + (by + cz)x^2 + G.$$

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④ We get  $S = bcd$  and  $T = 27a^2d^2 + 4b^3d + 4c^3d^2$ .

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$$I = (Sx_3^2 - dx_1x_2, Tx_3^3 - 27d^2x_0^2x_3 - 4dx_1^3 - 4d^2x_2^3).$$

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- ⑥ Since  $p_g(C) = 3$ , by Faltings theorem we have only a finite number of  $K$ -rational points  $[a, b, c, 1]$  on  $C$ .

# Flops

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- $W$  and  $Z$  have the same singularities and the same Betti numbers (Kollár).
- $K_W^3 = K_Z^3$ .
- What happens to the cubic form?