

OPEN MAP THEOREM | LET  $A \in \mathcal{L}(X, Y)$  LINEAR CONTINUOUS BETWEEN  $X, Y$  BANACH SPACES. IF  $A$  IS SURJECTIVE, THEN  $A$  IS OPEN

COROLLARY ① IF  $X, Y$  ARE BANACH SPACES AND  $A \in \mathcal{L}(X, Y)$  IS INVERTIBLE, THEN  $A^{-1}$  IS ~~INVERTIBLE~~ CONTINUOUS. ( $\Rightarrow A^{-1} \in \mathcal{L}(Y, X)$ )

② IF  $(X, \|\cdot\|_1), (X, \|\cdot\|_2)$  ARE BOTH BANACH SPACES AND  $\exists C > 0$  SUCH THAT  $\|x\|_2 \leq C\|x\|_1$ , THEN  $\exists \tilde{C} > 0$  SUCH THAT  $\|x\|_1 \leq \tilde{C}\|x\|_2$  IN PARTICULAR,  $\|\cdot\|_1$  AND  $\forall x \in X$   $\|\cdot\|_2$  ARE EQUIVALENT.

PROOF ① FROM OPEN MAP THEOREM,  $A(B_1(0)) \supset B_\delta(0) \Rightarrow B_1(0) \supset A^{-1}(B_\delta(0))$  THAT IS  $\|y\| \leq \delta \Rightarrow \|A^{-1}y\| \leq 1$ , DIVIDE BY  $\delta$   $\|y\| \leq 1 \Rightarrow \|A^{-1}y\| \leq \frac{1}{\delta}$

$$\textcircled{2} \quad \begin{array}{ccc} (X, \|\cdot\|_1) & \xrightarrow{A} & (X, \|\cdot\|_2) \\ x & \longrightarrow & x \end{array}$$

$$\|A^{-1}\| = \sup_{\|y\| \leq 1} \|A^{-1}y\| \leq \frac{1}{\delta} < +\infty$$

BY HYPOTHESIS,  $\|x\|_2 \leq C\|x\|_1 = C\|x\| \Rightarrow A$  IS CONTINUOUS

SINCE  $(X, \|\cdot\|_1)$  AND  $(X, \|\cdot\|_2)$  ARE COMPLETE, I CAN APPLY ①

$$\begin{array}{ccc} (X, \|\cdot\|_2) & \xrightarrow{A^{-1}} & (X, \|\cdot\|_1) \\ x & \longrightarrow & x \end{array} \quad A^{-1} \text{ IS CONTINUOUS, THAT IS:}$$

$$\|A^{-1}x\| \leq \tilde{C}\|x\| = C\|x\|_2$$

$$\|x\|_1$$

CLOSED GRAPH THEOREM | LET  $X, Y$  BE BANACH SPACES

AND  $A: X \rightarrow Y$  LINEAR SUCH THAT ITS GRAPH

$$G_A := \{(x, y) \in X \times Y : y = Ax\}$$
 IS CLOSED IN  $X \times Y$ .

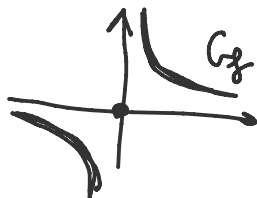
THEN,  $A$  IS CONTINUOUS. ( $X \times Y$  IS A BANACH SPACE WITH  $\|(x, y)\|$ )

**REMARK**  $\Rightarrow$  IN OTHER WORDS, IF  $x_n \rightarrow x \Rightarrow Ax_n \rightarrow Ax$ , THEN  $A$  IS CONTINUOUS

WITHOUT THE CLOSED GRAPH THEOREM, TO PROVE CONTINUITY I NEEDED  $x_n \rightarrow x \Rightarrow Ax_n \rightarrow Ax$  (FOR ANY  $x_n \rightarrow x$ , NOT ONLY THOSE SATISFYING  $Ax_n \rightarrow y$ )

② THE THEOREM IS FALSE FOR NONLINEAR MAPS  $f: \mathbb{R} \rightarrow \mathbb{R}$

$f$  NOT CONTINUOUS BUT  $G_f$  IS CLOSED



$$x \rightarrow \begin{cases} \frac{1}{x} & x \neq 0 \\ 0 & x = 0 \end{cases}$$

③ LINEAR MAPS MAY NOT BE CLOSED MAPS, THAT IS  $A(z)$  MAY NOT BE CLOSED IN  $Y$  EVEN IF  $Z \subset X$  IS CLOSED.

FOR EXAMPLE,  $X = C([0,1]) \rightarrow C([0,1]) \xrightarrow{A} Y$   
 $f \rightarrow \int_0^x f$  IS NOT CLOSED IN  $Y$

**PROOF**  $G_A$  IS A LINEAR SUBSPACE OF  $X \times Y$ , IF IT IS CLOSED, IT IS A BANACH SPACE WITH  $\|(x, Ax)\|_{G_A} := \|x\|_X + \|Ax\|_Y$ . LET US CONSIDER

$\Pi: G_A \rightarrow X$  IT IS LINEAR, CONTINUOUS AND INVERTIBLE.

$$(x, Ax) \rightarrow x$$

BY THE COROLLARY,  $\Pi^{-1}: X \rightarrow G_A$  IS CONTINUOUS, THAT IS

$$x \rightarrow (x, Ax)$$

$$\|\Pi^{-1}x\| \leq C\|x\|$$

$$\Rightarrow \|Ax\| \leq (C-1)\|x\| \Rightarrow A \text{ IS CONTINUOUS.}$$

$$\|x\| + \|Ax\|$$

**COROLLARY** LET  $X$  BE A BANACH SPACE AND  $A: X \rightarrow X^*$  LINEAR

SUCH THAT EITHER  $(Ax)y = (Ay)x \quad \forall x, y \in X$  (SYMMETRIC)

OR  $(Ax)x \geq 0 \quad \forall x \in X$  (NON-NEGATIVE)

THEN,  $A$  IS CONTINUOUS.

**REMARK** IN PARTICULAR, IF  $H$  IS A HILBERT SPACE,  $A: H \rightarrow H$  LINEAR IS CONTINUOUS, PROVIDED  $(Ax, y) = (Ay, x)$  OR  $(Ax, x) \geq 0 \quad \forall x, y$ .

**PROOF** ASSUME  $A$  IS SYMMETRIC, WE WILL SHOW  $\Gamma_A$  IS CLOSED:

TAKE  $x_n$  SUCH THAT  $x_n \rightarrow x_0 \in X$   
 $Ax_n \rightarrow L_0 \in X^*$ , WE WANT TO HAVE  $L_0 = Ax_0$ .

FOR ANY  $x \in X$

$$(Ax_n)x \rightarrow \underline{L_0 x} \quad \text{SINCE } L_0 x = (Ax_0)x \quad \forall x \in X,$$

$$\begin{matrix} \parallel \\ (Ax)x_n \end{matrix} \rightarrow (Ax)x_0 = \underline{(Ax_0)x} \quad \text{IT MUST BE } L_0 = Ax_0$$

② ASSUME  $A$  NON-NEGATIVE AND TAKE  $x_n \rightarrow x_0$  AND  $Ax_n \rightarrow L_0$ ,  
 APPLY  $A$  TO  $x_n - x_0 - \lambda x$  FOR  $\lambda \in \mathbb{R}, x \in X$ :

$$0 \leq (A(x_n - x_0 - \lambda x))(x_n - x_0 - \lambda x) \rightarrow (L_0 - Ax_0 - \lambda Ax)(-\lambda x)$$

$$\Rightarrow \lambda^2 (Ax)x \geq \lambda (L_0 - Ax_0)x - \lambda^2 (Ax)x$$

$$\text{DIVIDE BY } \lambda: \quad \lambda > 0 \Rightarrow (L_0 - Ax_0)x \leq \lambda (Ax)x \xrightarrow{\lambda \rightarrow 0} 0$$

$$\lambda < 0 \Rightarrow (L_0 - Ax_0)x \geq \lambda (Ax)x \xrightarrow{\lambda \rightarrow 0} 0$$

$$\Rightarrow (L_0 - Ax_0)x = 0 \quad \forall x \in X \Rightarrow L_0 = Ax_0 \Rightarrow \Gamma_A \text{ IS CLOSED AND } A \text{ IS CONTINUOUS}$$

## COMPLEMENTARY SUBSPACES AND PROJECTORS

**DEF** LET  $X$  BE A BANACH SPACE AND  $E \triangleleft X$ . WE SAY THAT  $F \triangleleft X$  IS A COMPLEMENTARY OF  $E$  IF  $X = E + F$  AND  $E \cap F = \{0\}$ , AND WE WRITE  $X = E \oplus F$

**REMARKS** ① BEING COMPLEMENTARY IS SYMMETRIC (IF  $F$  IS A COMPLEMENTARY OF  $E$ , THEN  $E$  IS A COMPLEMENTARY OF  $F$ )

②  $E, F$  ARE COMPLEMENTARY (IFF) I CAN WRITE ANY  $x \in X$  UNIQUELY AS  $x = y + z$  WITH  $y \in E, z \in F$  IF AND ONLY IF

**EXAMPLES** ① IF  $H$  IS A HILBERT SPACE ...

**EXAMPLES** ① IF  $H$  IS A HILBERT SPACE, ANY  $E \triangleleft X$  HAS A COMPLEMENTARY GIVEN BY  $F = E^\perp$

②  $X \times Y \Rightarrow E = X \times \{0\}$  AND  $F = \{0\} \times Y$  ARE COMPLEMENTARY

③  $C := \{x: \mathbb{N} \rightarrow \mathbb{R} \text{ SUCH THAT } \exists \lim_{k \rightarrow \infty} x(k) \in \mathbb{R}\}$   $\|x\| = \sup_{k \in \mathbb{N}} |x(k)|$

$E = C_0 := \{x: \mathbb{N} \rightarrow \mathbb{R} \text{ SUCH THAT } \lim_{k \rightarrow \infty} x(k) = 0\}$   $C_0 \triangleleft C$

$F := \{x: \mathbb{N} \rightarrow \mathbb{R} \text{ SUCH THAT } x(k) \equiv x_0 \forall k \in \mathbb{N}\}$  IS A COMPLEMENT OF  $C_0$   
 CONSTANT SEQUENCES.

**PROP** "WHEN DOES A COMPLEMENTARY EXIST?"

LET  $X$  BE A BANACH SPACE AND  $E \triangleleft X$ . THEN,  $E$  HAS A COMPLEMENTARY

$\Leftrightarrow \exists P \in \mathcal{L}(X, E)$  SUCH THAT  $Px = x \quad \forall x \in E$  (PROJECTION)

**EXAMPLES** ① IN HILBERT SPACES,  $P$  IS THE ORTHOGONAL PROJECTION

② IN  $X \times Y$ ,  $P: (x, y) \Rightarrow (x, 0)$  ON  $X \times \{0\}$   
 $(x, y) \rightarrow (0, y)$  ON  $\{0\} \times Y$

③  $X = C, E = C_0 \Rightarrow P: X \rightarrow E$   
 $x(k) \rightarrow x(k) - \lim_{j \rightarrow \infty} x(j)$   $P: X \rightarrow F$   
 $x(k) \rightarrow \lim_{j \rightarrow \infty} x(j)$   $\forall k \in \mathbb{N}$

**PROOF** ASSUME  $E$  HAS A COMPLEMENTARY  $F$ , SO THAT  $\forall x \in X \exists! x_E \in E$

SUCH THAT  $x = x_E + x_F$ . DEFINE  $Px = x_E$ .  $Px \in E$  BY CONSTRUCTION,

$x \in E \Rightarrow x = x + 0 \Rightarrow Px = x$ . LET US VERIFY  $P$  IS LINEAR!

$$\alpha x + \beta y = (\alpha x + \beta y)_E + (\alpha x + \beta y)_F$$

$$\alpha(x_E + x_F) + \beta(y_E + y_F) = (\alpha x_E + \beta y_E) + (\alpha x_F + \beta y_F)$$

$$(\alpha x + \beta y)_E - (\alpha x_E + \beta y_E) = \alpha x_F + \beta y_F - (\alpha x + \beta y)_F$$

$$\text{LHS} \in E, \text{ RHS} \in F = \{0\} \Rightarrow \text{BOTH ARE ZERO} \Rightarrow (\alpha x + \beta y)_E = \alpha x_E + \beta y_E$$



LET US SHOW  $P$  IS CONTINUOUS WITH  $\Rightarrow Px = x_E$  IS LINEAR  
 $x_n \rightarrow x$  CLOSED GRAPH THEOREM:  
 $Px_n \rightarrow y$ , WE WANT  $y = Px$ .

$\Rightarrow x - y \in F$ .

NOW, WRITE

$$\begin{array}{c}
 x = y + x - y \\
 \parallel \quad \underbrace{\quad}_E \quad \underbrace{\quad}_F \\
 Px + \underbrace{x - Px}_E
 \end{array}$$

SINCE  $x \in E \oplus F$ , THE WRITING FORM  
 IS UNIQUE, SO  $y = Px \Rightarrow$  CONTINUOUS  
 $x - y = x - Px$