

# A LOWER BOUND ON THE ULRICH COMPLEXITY OF HYPERSURFACES

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ABSTRACT. We give a lower bound on the Ulrich complexity of hypersurfaces of dimension  $n \geq 6$ .

## 1. INTRODUCTION

Let  $X \subset \mathbb{P}^{n+1}$  be a smooth hypersurface of degree  $d$ . While the study of arithmetically Cohen-Macaulay bundles on  $X$  is a classical one, in recent years the special class of Ulrich bundles has attracted attention. A bundle  $\mathcal{E}$  on  $X$  is called Ulrich if  $H^i(\mathcal{E}(-p)) = 0$  for  $i \geq 0$  and  $1 \leq p \leq n$  (for general facts on them see for example [CMRPL, ES, Be2]). Since a hypersurface  $X$  always carries an Ulrich bundle (of high rank) by [HUB], a more refined invariant is the *Ulrich complexity*, namely

$$\text{Uc}(X) = \min\{\text{rank } \mathcal{E}, \mathcal{E} \text{ Ulrich bundle on } X\}.$$

In low degree, we know (see for example [Be2]) that  $\text{Uc}(X) = 1$  if  $d = 1$  and  $\text{Uc}(X) = 2^{\lfloor \frac{n-1}{2} \rfloor}$  if  $d = 2$ . When  $d \geq 3$ , the Buchweitz, Greuel and Schreyer's conjecture [BGS], would imply that  $\text{Uc}(X) \geq 2^{\lfloor \frac{n-1}{2} \rfloor}$  and also that  $\text{Uc}(X) \geq 2^{\lfloor \frac{n+1}{2} \rfloor}$  when  $X$  is general [RT1] (see also [E]). Aside from several special cases [Be1, Be2, CH, CFK, FK], a lower bound was recently shown in [LR3, RT1, RT2] (the second one is for aCM bundles):  $\text{Uc}(X) \geq 4$  if  $n \geq 5$  or if  $n = 3, 4$  and  $X$  is general. Also, it was proved in [BES, Thm. 3.1] that  $\text{Uc}(X) \geq \sqrt{n+2} - 1$ .

Along these lines, our main result is as follows.

### Theorem 1.

Let  $X \subset \mathbb{P}^{n+1}$  be a smooth hypersurface of degree  $d \geq 3$ . Then the following lower bounds hold:

- (i)  $\text{Uc}(X) \geq 6$ , if either  $n = 7$ , or  $n = 6$  and  $X$  is very general.
- (ii)  $\text{Uc}(X) \geq 8$ , if either  $n \geq 9$ , or  $n = 8$  and  $X$  is very general.

Here is a brief summary of the paper. In Section 2 we establish notation, in Section 3 we study the invariants and the geometry of the degeneracy locus of two sections of a globally generated bundle. Section 4 is dedicated to proving some useful general facts about Ulrich bundles, Section 5 is about Chern classes of Ulrich bundles on hypersurfaces. Finally, in Section 6, we prove the above theorem. In the appendix we perform the necessary computations needed.

## 2. NOTATION AND CONVENTIONS

Throughout the paper we work over the complex numbers.

Given  $X \subset \mathbb{P}^N$  and  $i \in \{1, \dots, n-1\}$ , we denote by  $X_i$  the intersection of  $X$  with  $n-i$  general hyperplanes. We say that  $X$  is *subcanonical* if  $-K_X = i_X H$  for some  $i_X \in \mathbb{Z}$ .

We use the convention  $\binom{\ell}{m} = \frac{\ell(\ell-1)\dots(\ell-m+1)}{m!}$  for  $\ell, m \in \mathbb{Z}, m \geq 1$ .

## 3. A USEFUL DEGENERACY LOCUS

In the proof of the main theorem, a crucial role will be played by a suitable degeneracy locus. In this section we will introduce it and study its properties.

The following will henceforth be fixed in this section.

### Setup 3.1.

- $X \subset \mathbb{P}^N$  is a smooth irreducible variety of dimension  $n \geq 3$ .

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- $r$  is an integer such that  $\frac{n+1}{2} \leq r \leq n+1$ .
- $\mathcal{E}$  is a rank  $r$  globally generated bundle on  $X$  with  $\det \mathcal{E} = \mathcal{O}_X(D)$ .
- $V \subset H^0(\mathcal{E})$  is a general subspace of dimension 2, giving rise to  $\varphi : V \otimes \mathcal{O}_X \rightarrow \mathcal{E}$ .
- $Z = D_1(\varphi) = \{x \in X : \text{rank } \varphi(x) \leq 1\}$  is the corresponding degeneracy locus.

**Lemma 3.2.** *Notation as in Setup 3.1. If  $Z \neq \emptyset$ , then  $Z$  is a smooth subvariety of  $X$  of pure dimension  $n+1-r$  and  $[Z] = c_{r-1}(\mathcal{E}) \in H^{2r-2}(X, \mathbb{Z})$ . We have*

$$(3.1) \quad (K_Z - (K_X + D)|_Z)^2 = 0$$

$$(3.2) \quad (r-2)c_2(Z) = (r-2)c_2(X)|_Z - (r-2)c_2(\mathcal{E})|_Z + (K_Z - K_{X|Z})[(r-2)K_{X|Z} + (r-1)D|_Z] - D|_Z^2$$

and a resolution

$$(3.3) \quad 0 \rightarrow F_{r-1} \rightarrow \dots \rightarrow F_1 \rightarrow \mathcal{J}_{Z/X} \rightarrow 0$$

where  $F_i = (\Lambda^{r-1-i} \mathcal{E} \otimes \mathcal{O}_X(-D))^{\oplus i}$ ,  $1 \leq i \leq r-1$ .

*Proof.* We first prove that

$$(3.4) \quad D_0(\varphi) = \emptyset.$$

In fact, we get by [Ba, Statement (folklore)(i), §4.1] that if  $D_0(\varphi) \neq \emptyset$ , then it has pure codimension  $2r$ , a contradiction. This proves (3.4). Since we are assuming that  $Z \neq \emptyset$ , it follows by [Ba, Statement (folklore)(i), §4.1] and (3.4), that  $Z$  is smooth of pure codimension  $r-1$  and then  $[Z] = c_{r-1}(\mathcal{E})$ . Set

$$\mathcal{K} = \text{Ker}(\varphi|_Z), \quad \mathcal{C} = \text{Coker}(\varphi|_Z) \text{ and } \mathcal{Q} = \text{Ker}(\mathcal{E}|_Z \rightarrow \mathcal{C})$$

so that we have two exact sequences of vector bundles on  $Z$ ,

$$(3.5) \quad 0 \rightarrow \mathcal{Q} \rightarrow \mathcal{E}|_Z \rightarrow \mathcal{C} \rightarrow 0$$

$$(3.6) \quad 0 \rightarrow \mathcal{K} \rightarrow \mathcal{O}_Z^{\oplus 2} \rightarrow \mathcal{Q} \rightarrow 0.$$

It follows, by (3.4) and [FP, (5.1)], that  $\mathcal{C}$  (respectively  $\mathcal{K}$ ) is a vector bundle on  $Z$  of rank  $r-1$  (respectively 1) and that  $N_{Z/X} \cong \mathcal{K}^* \otimes \mathcal{C}$ . Therefore also  $\mathcal{Q}$  is a line bundle on  $Z$  and we get by (3.5) and (3.6) that

$$c_1(\mathcal{Q}) + c_1(\mathcal{C}) = D|_Z, \quad c_1(\mathcal{K}) + c_1(\mathcal{Q}) = 0$$

and therefore

$$c_1(\mathcal{C}) = c_1(N_{Z/X} \otimes \mathcal{K}) = K_Z - K_{X|Z} + (r-1)c_1(\mathcal{K}).$$

Using these we find

$$(3.7) \quad (r-2)c_1(\mathcal{K}) = [(K_X + D)|_Z - K_Z]$$

and then

$$(r-2)c_1(\mathcal{C}) = [(K_X + (r-1)D)|_Z - K_Z].$$

Now, to prove (3.1) and (3.2), we will use the exact sequence

$$(3.8) \quad 0 \rightarrow T_Z \rightarrow T_{X|Z} \rightarrow N_{Z/X} \rightarrow 0.$$

Since  $\mathcal{Q}$  is a line bundle, (3.6) shows that

$$0 = c_2(\mathcal{O}_Z^{\oplus 2}) = c_1(\mathcal{K})^2$$

so that (3.1) holds by (3.7) and then (3.2) follows by computing Chern classes in (3.5), (3.8) and using (3.1). Finally, to see (3.3), observe that  $Z = D_1(\varphi) = D_1(\varphi^*)$  where  $\varphi^* : \mathcal{E}^* \rightarrow V^* \otimes \mathcal{O}_X$ . Since  $Z$  has the expected codimension, the Eagon-Northcott complex gives a resolution [La1, Thm. B.2.2(iii)]

$$0 \rightarrow F_{r-1} \rightarrow \dots \rightarrow F_1 \rightarrow \mathcal{J}_{Z/X} \rightarrow 0$$

where  $F_i = S^{i-1}V^* \otimes \Lambda^{i+1}\mathcal{E}^* \cong (\Lambda^{r-1-i}\mathcal{E} \otimes \mathcal{O}_X(-D))^{\oplus i}$ ,  $1 \leq i \leq r-1$ . □

Next, we check non-emptiness and irreducibility of  $Z$  as above.

**Lemma 3.3.** *Notation as in Setup 3.1. We have:*

- (i)  $Z \neq \emptyset$  if  $c_{r-1}(\mathcal{E}) \neq 0$ .

Moreover, if, in addition,  $r \leq n$  and  $Z \neq \emptyset$ , then  $Z$  is smooth and irreducible if one of the following holds:

- (ii)  $\mathcal{E}$  is  $(n-r)$ -ample, or
- (iii)  $H^i(\Lambda^{i+1}\mathcal{E}^*) = 0$  for  $1 \leq i \leq r-1$ .

*Proof.* If  $Z = \emptyset$ , then the morphism  $\varphi$  has constant rank 2 and therefore we get an exact sequence

$$0 \rightarrow V \otimes \mathcal{O}_X \rightarrow \mathcal{E} \rightarrow \mathcal{F} \rightarrow 0$$

where also  $\mathcal{F}$  is a vector bundle of rank  $r-2$ . But then  $c_{r-1}(\mathcal{E}) = c_{r-1}(\mathcal{F}) = 0$ , a contradiction. Therefore  $Z \neq \emptyset$  and (i) is proved. To see that  $Z$  is smooth and irreducible it is enough, by Lemma 3.2, to prove that  $Z$  is connected. Now, under the hypothesis in (ii), the connectedness follows by [Tu, Thm. 6.4(a)]. Under the hypothesis in (iii), since  $\Lambda^{i+1}\mathcal{E}^* \cong \Lambda^{r-1-i}\mathcal{E} \otimes \mathcal{O}_X(-D)$ , we deduce by (3.3) and [La1, Prop. B.1.2(i)] that  $H^1(\mathcal{J}_{Z/X}) = 0$ , hence again  $Z$  is connected.  $\square$

#### 4. GENERALITIES ON ULRICH VECTOR BUNDLES

We will often use the following, mostly well-known, properties of Ulrich bundles.

**Lemma 4.1.** *Let  $X \subseteq \mathbb{P}^N$  be a smooth irreducible variety of dimension  $n$ , degree  $d$  and let  $\mathcal{E}$  be a rank  $r$  Ulrich bundle. We have:*

- (i)  $\mathcal{E}$  is globally generated.
- (ii)  $\mathcal{E}^*(K_X + (n+1)H)$  is Ulrich.
- (iii)  $\mathcal{E}$  is aCM.
- (iv)  $c_1(\mathcal{E})H^{n-1} = \frac{r}{2}[K_X + (n+1)H]H^{n-1}$ .
- (v)  $\mathcal{E}|_Y$  is Ulrich on a smooth hyperplane section  $Y$  of  $X$ .
- (vi)  $\det \mathcal{E}$  is globally generated and it is not trivial, unless  $(X, H, \mathcal{E}) = (\mathbb{P}^n, \mathcal{O}_{\mathbb{P}^n}(1), \mathcal{O}_{\mathbb{P}^n}^{\oplus r})$ .
- (vii) If  $n \geq 2$ , then  $c_2(\mathcal{E})H^{n-2} = \frac{1}{2}[c_1(\mathcal{E})^2 - c_1(\mathcal{E})K_X]H^{n-2} + \frac{r}{12}[K_X^2 + c_2(X) - \frac{3n^2+5n+2}{2}H^2]H^{n-2}$ .
- (viii)  $\chi(\mathcal{E}(m)) = rd \binom{m+n}{n}$ .
- (ix) If  $n = 3$ , then

$$c_3(\mathcal{E}) = 2r(d - \chi(\mathcal{O}_X)) + c_1(\mathcal{E})c_2(\mathcal{E}) - \frac{1}{3}c_1(\mathcal{E})^3 + \frac{1}{2}K_X(c_1(\mathcal{E})^2 - 2c_2(\mathcal{E})) - \frac{1}{6}(K_X^2 + c_2(X))c_1(\mathcal{E}).$$

- (x) If  $n = 4$ , then

$$\begin{aligned} c_4(\mathcal{E}) = & -6r(d - \chi(\mathcal{O}_X)) - \frac{1}{4}K_X c_2(X)c_1(\mathcal{E}) + \frac{1}{4}[K_X^2 + c_2(X)][c_1(\mathcal{E})^2 - 2c_2(\mathcal{E})] \\ & - \frac{1}{2}K_X[c_1(\mathcal{E})^3 - 3c_1(\mathcal{E})c_2(\mathcal{E}) + 3c_3(\mathcal{E})] + \frac{1}{4}[c_1(\mathcal{E})^4 - 4c_1(\mathcal{E})^2c_2(\mathcal{E}) + 4c_1(\mathcal{E})c_3(\mathcal{E}) + 2c_2(\mathcal{E})^2] \end{aligned}$$

- (xi) If  $n = 5$ , then

$$\begin{aligned} c_5(\mathcal{E}) = & 24r(d - \chi(\mathcal{O}_X)) - \frac{1}{5}c_1(\mathcal{E})^5 + c_1(\mathcal{E})^3c_2(\mathcal{E}) - c_1(\mathcal{E})^2c_3(\mathcal{E}) - c_1(\mathcal{E})c_2(\mathcal{E})^2 + c_1(\mathcal{E})c_4(\mathcal{E}) \\ & + c_2(\mathcal{E})c_3(\mathcal{E}) + \frac{1}{2}(c_1(\mathcal{E})^2 - 2c_2(\mathcal{E}))c_2(X)K_X \\ & + \frac{1}{30}c_1(\mathcal{E})(K_X^4 - 4K_X^2c_2(X) + K_Xc_3(X) - 3c_2(X)^2 + c_4(X)) \\ & + \frac{1}{2}(c_1(\mathcal{E})^4 - 4c_1(\mathcal{E})^2c_2(\mathcal{E}) + 4c_1(\mathcal{E})c_3(\mathcal{E}) + 2c_2(\mathcal{E})^2 - 4c_4(\mathcal{E}))K_X \\ & - \frac{1}{3}(K_X^2 + c_2(X))(c_1(\mathcal{E})^3 - 3c_1(\mathcal{E})c_2(\mathcal{E}) + 3c_3(\mathcal{E})). \end{aligned}$$

(xii) If  $n = 6$ , then

$$\begin{aligned}
c_6(\mathcal{E}) &= -120r(d - \chi(\mathcal{O}_X)) - \frac{1}{12}c_1(\mathcal{E})(-K_X^3c_2(X) + 3K_Xc_2(X)^2 - K_X^2c_3(X) - K_Xc_4(X)) \\
&\quad - \frac{1}{12}(K_X^4c_1(\mathcal{E})^2 - 4K_X^2c_2(X)c_1(\mathcal{E})^2 - 3c_2(X)^2c_1(\mathcal{E})^2 + K_Xc_3(X)c_1(\mathcal{E})^2 + c_4(X)c_1(\mathcal{E})^2 \\
&\quad - 2K_X^4c_2(\mathcal{E}) + 8K_X^2c_2(X)c_2(\mathcal{E}) + 6c_2(X)^2c_2(\mathcal{E}) - 2K_Xc_3(X)c_2(\mathcal{E}) - 2c_4(X)c_2(\mathcal{E})) \\
&\quad - \frac{5}{6}K_Xc_2(X)(c_1(\mathcal{E})^3 - 3c_1(\mathcal{E})c_2(\mathcal{E}) + 3c_3(\mathcal{E})) \\
&\quad + \frac{5}{12}(K_X^2 + c_2(X))(c_1(\mathcal{E})^4 - 4c_1(\mathcal{E})^2c_2(\mathcal{E}) + 2c_2(\mathcal{E})^2 + 4c_1(\mathcal{E})c_3(\mathcal{E}) - 4c_4(\mathcal{E})) \\
&\quad - \frac{1}{2}K_X(c_1(\mathcal{E})^5 - 5c_1(\mathcal{E})^3c_2(\mathcal{E}) + 5c_1(\mathcal{E})c_2(\mathcal{E})^2 + 5c_1(\mathcal{E})^2c_3(\mathcal{E}) - 5c_2(\mathcal{E})c_3(\mathcal{E}) - 5c_1(\mathcal{E})c_4(\mathcal{E}) + 5c_5(\mathcal{E})) \\
&\quad + \frac{1}{6}c_1(\mathcal{E})^6 - c_1(\mathcal{E})^4c_2(\mathcal{E}) + \frac{3}{2}c_1(\mathcal{E})^2c_2(\mathcal{E})^2 - \frac{1}{3}c_2(\mathcal{E})^3 + c_1(\mathcal{E})^3c_3(\mathcal{E}) - 2c_1(\mathcal{E})c_2(\mathcal{E})c_3(\mathcal{E}) + \frac{1}{2}c_3(\mathcal{E})^2 \\
&\quad - c_1(\mathcal{E})^2c_4(\mathcal{E}) + c_2(\mathcal{E})c_4(\mathcal{E}) + c_1(\mathcal{E})c_5(\mathcal{E}).
\end{aligned}$$

(xiii) If  $n = 7$ , then

$$\begin{aligned}
c_7(\mathcal{E}) &= 720r(d - \chi(\mathcal{O}_X)) \\
&\quad + \frac{1}{2}K_X(c_1(\mathcal{E})^6 - 6c_1(\mathcal{E})^4c_2(\mathcal{E}) + 9c_1(\mathcal{E})^2c_2(\mathcal{E})^2 - 2c_2(\mathcal{E})^3 + 6c_1(\mathcal{E})^3c_3(\mathcal{E}) - 12c_1(\mathcal{E})c_2(\mathcal{E})c_3(\mathcal{E}) \\
&\quad \quad + 3c_3(\mathcal{E})^2 - 6c_1(\mathcal{E})^2c_4(\mathcal{E}) + 6c_2(\mathcal{E})c_4(\mathcal{E}) + 6c_1(\mathcal{E})c_5(\mathcal{E}) - 6c_6(\mathcal{E})) \\
&\quad - \frac{1}{2}(K_X^2 + c_2(X))(c_1(\mathcal{E})^5 - 5c_1(\mathcal{E})^3c_2(\mathcal{E}) + 5c_1(\mathcal{E})c_2(\mathcal{E})^2 + 5c_1(\mathcal{E})^2c_3(\mathcal{E}) - 5c_2(\mathcal{E})c_3(\mathcal{E}) \\
&\quad \quad - 5c_1(\mathcal{E})c_4(\mathcal{E}) + 5c_5(\mathcal{E})) \\
&\quad + \frac{5}{4}K_Xc_2(X)(c_1(\mathcal{E})^4 - 4c_1(\mathcal{E})^2c_2(\mathcal{E}) + 2c_2(\mathcal{E})^2 + 4c_1(\mathcal{E})c_3(\mathcal{E}) - 4c_4(\mathcal{E})) \\
&\quad + \frac{1}{6}(K_X^4c_1(\mathcal{E})^3 - 4K_X^2c_2(X)c_1(\mathcal{E})^3 - 3c_2(X)^2c_1(\mathcal{E})^3 + K_Xc_3(X)c_1(\mathcal{E})^3 + c_4(X)c_1(\mathcal{E})^3 \\
&\quad \quad - 3K_X^4c_1(\mathcal{E})c_2(\mathcal{E}) + 12K_X^2c_2(X)c_1(\mathcal{E})c_2(\mathcal{E}) + 9c_2(X)^2c_1(\mathcal{E})c_2(\mathcal{E}) - 3K_Xc_3(X)c_1(\mathcal{E})c_2(\mathcal{E}) \\
&\quad \quad - 3c_4(X)c_1(\mathcal{E})c_2(\mathcal{E}) + 3K_X^4c_3(\mathcal{E}) - 12K_X^2c_2(X)c_3(\mathcal{E}) - 9c_2(X)^2c_3(\mathcal{E}) + 3K_Xc_3(X)c_3(\mathcal{E}) \\
&\quad \quad + 3c_4(X)c_3(\mathcal{E})) \\
&\quad - \frac{1}{4}K_X(K_X^2c_2(X)c_1(\mathcal{E})^2 - 3c_2(X)^2c_1(\mathcal{E})^2 + K_Xc_3(X)c_1(\mathcal{E})^2 + c_4(X)c_1(\mathcal{E})^2 - 2K_X^2c_2(X)c_2(\mathcal{E}) \\
&\quad \quad + 6c_2(X)^2c_2(\mathcal{E}) - 2K_Xc_3(X)c_2(\mathcal{E}) - 2c_4(X)c_2(\mathcal{E})) \\
&\quad - \frac{1}{84}c_1(\mathcal{E})(2K_X^6 - 12K_X^4c_2(X) + 11K_X^2c_2(X)^2 + 10c_2(X)^3 - 5K_X^3c_3(X) - 11K_Xc_2(X)c_3(X) \\
&\quad \quad - c_3(X)^2 - 5K_X^2c_4(X) - 9c_2(X)c_4(X) + 2K_Xc_5(X) + 2c_6(X)) \\
&\quad - \frac{1}{7}c_1(\mathcal{E})^7 + c_1(\mathcal{E})^5c_2(\mathcal{E}) - 2c_1(\mathcal{E})^3c_2(\mathcal{E})^2 + c_1(\mathcal{E})c_2(\mathcal{E})^3 - c_1(\mathcal{E})^4c_3(\mathcal{E}) + 3c_1(\mathcal{E})^2c_2(\mathcal{E})c_3(\mathcal{E}) \\
&\quad - c_2(\mathcal{E})^2c_3(\mathcal{E}) - c_1(\mathcal{E})c_3(\mathcal{E})^2 + c_1(\mathcal{E})^3c_4(\mathcal{E}) - 2c_1(\mathcal{E})c_2(\mathcal{E})c_4(\mathcal{E}) + c_3(\mathcal{E})c_4(\mathcal{E}) - c_1(\mathcal{E})^2c_5(\mathcal{E}) + c_2(\mathcal{E})c_5(\mathcal{E}) \\
&\quad + c_1(\mathcal{E})c_6(\mathcal{E}).
\end{aligned}$$

*Proof.* See for example [LR1, Lemma 3.2] for (i)-(v) and (vii)-(viii), [Lo, Lemma 2.1] for (vi) and [BMPT, Prop. 3.7(b)] for (ix). The formulas (x)-(xiii) follow by using Riemann-Roch on  $X$  and  $\chi(\mathcal{E}) = rd$  by (vii) (see [M5, Out(17), Out(19), Out(21), Out(23)]).  $\square$

We have the following easy vanishings for powers of Ulrich bundles.

**Lemma 4.2.** *Let  $X \subset \mathbb{P}^N$  be a smooth irreducible variety of dimension  $n$  and let  $\mathcal{E}$  be a rank  $r$  Ulrich bundle. Then:*

- (i)  $H^n(\mathcal{E}^{\otimes j}(l)) = 0$  for  $j \geq 1$  and  $l \geq -n$ .

- (ii)  $H^{n-1}(\mathcal{E}^{\otimes j}(l)) = 0$  for  $j \geq 1$  and  $l \geq 1 - n$ .  
 (iii)  $H^n((\Lambda^j \mathcal{E})(l)) = 0$  for  $j \geq 1, l \geq -n$  and  $H^{n-1}((\Lambda^j \mathcal{E})(l)) = 0$  for  $j \geq 1, l \geq 1 - n$ .

*Proof.* It is well-known that (iii) follows by (i) and (ii), which we now prove. If  $d = 1$  we have that  $(X, H, \mathcal{E}) = (\mathbb{P}^n, \mathcal{O}_{\mathbb{P}^n}(1), \mathcal{O}_{\mathbb{P}^n}^{\oplus r})$  by [ES, Prop. 2.1], hence (i) and (ii) follow. If  $d \geq 2$  we prove (i) and (ii) by induction on  $j$ . The case  $j = 1$  follows by Castelnuovo-Mumford since  $\mathcal{E}$  is 0-regular. Suppose  $j \geq 2$ . We have, by [ES, Prop. 2.1], an exact sequence

$$\mathcal{O}_{\mathbb{P}^N}(-1)^{\oplus \beta_1} \rightarrow \mathcal{O}_{\mathbb{P}^N}^{\oplus \beta_0} \rightarrow \mathcal{E} \rightarrow 0$$

hence, tensoring by  $\mathcal{E}^{\otimes(j-1)}(l)$  we get an exact sequence on  $X$ ,

$$(4.1) \quad 0 \rightarrow \mathcal{G} \rightarrow (\mathcal{E}^{\otimes(j-1)}(l-1))^{\oplus \beta_1} \xrightarrow{\psi} (\mathcal{E}^{\otimes(j-1)}(l))^{\oplus \beta_0} \rightarrow \mathcal{E}^{\otimes j}(l) \rightarrow 0$$

where  $\mathcal{G} = \text{Ker } \psi$ . If  $l \geq -n$ , we have that  $H^n((\mathcal{E}^{\otimes(j-1)}(l))) = 0$  by the inductive hypothesis, hence  $H^n(\mathcal{E}^{\otimes j}(l)) = 0$  by (4.1). This proves (i). If  $l \geq 1 - n$ , we have that  $H^{n-1}((\mathcal{E}^{\otimes(j-1)}(l))) = 0$  by the inductive hypothesis and  $H^n((\mathcal{E}^{\otimes(j-1)}(l-1))) = 0$  by (i). Then (ii) follows by (4.1) and [La1, Prop. B.1.2(i)].  $\square$

We will now give some conditions under which  $Z$  is connected.

**Lemma 4.3.** *Let  $X \subset \mathbb{P}^N$  be a smooth irreducible variety of dimension  $n$ , degree  $d \geq 3$  and let  $\mathcal{E}$  be a rank  $r$  Ulrich bundle. Suppose that  $X$  is subcanonical and  $\det \mathcal{E} = \mathcal{O}_X(u)$ , for some  $u \in \mathbb{Z}$ . Assume that one of the following conditions is satisfied:*

- (a)  $4 \leq n \leq 7$  and  $r = 4$ , or  
 (b)  $6 \leq n \leq 9$  and  $r = 5$ .

Let  $Z$  be as in Setup 3.1 and assume that  $Z \neq \emptyset$ . Then  $Z$  is smooth and irreducible.

*Proof.* Set  $K_X = -i_X H$ , so that we know, by Lemma 4.1(iv) and (vi), that  $u = \frac{r(n+1-i_X)}{2} > 0$ . Note that  $-i_X \geq 1 - n$ , for otherwise  $i_X \geq n$ , hence  $X$  is Fano and, as is well-known, this gives  $d \leq 2$ , a contradiction. Also, note that  $r$  and  $n$  satisfy the conditions in Setup 3.1, hence  $Z$  is smooth by Lemma 3.2. The plan is now to apply Lemma 3.3(iii), hence to show that

$$(4.2) \quad H^i(\Lambda^{i+1} \mathcal{E}^*) = 0, \text{ for } 1 \leq i \leq r-1.$$

For  $i = r-1$ , we have that  $H^{r-1}(\Lambda^r \mathcal{E}^*) = H^{r-1}(-uH) = 0$  by Kodaira vanishing. For  $i = r-2$ , since  $\Lambda^{r-1} \mathcal{E}^* \cong \mathcal{E}(-u)$ , we have that  $H^r(\Lambda^{r-1} \mathcal{E}^*) = H^r(\mathcal{E}(-u)) = 0$  by Lemma 4.1(iii). For  $i = 1$ , by Serre's duality we have that  $H^1(\Lambda^2 \mathcal{E}^*) \cong H^{n-1}((\Lambda^2 \mathcal{E})(-i_X)) = 0$  by Lemma 4.2(iii). Thus, we are done in case (a), and, in case (b), to finish the proof of (4.2), it remains to consider the case  $i = 2$ . Consider, as in Lemma 4.1(ii), the dual Ulrich bundle  $\mathcal{F} = \mathcal{E}^*(n+1-i_X) = \mathcal{E}^*(\frac{2u}{5})$ . Since  $\Lambda^3 \mathcal{E}^* \cong (\Lambda^2 \mathcal{E})(-u)$ , we have, using Serre's duality,

$$H^2(\Lambda^3 \mathcal{E}^*) = H^2((\Lambda^2 \mathcal{E})(-u)) = H^2((\Lambda^2 \mathcal{F}^*)(\frac{4u}{5} - u)) = H^{n-2}(\omega_X \otimes \Lambda^2 \mathcal{F} \otimes \mathcal{L})$$

where  $\mathcal{L} = \mathcal{O}_X(\frac{u}{5})$  is ample. Therefore  $H^{n-2}(\omega_X \otimes \Lambda^2 \mathcal{F} \otimes \mathcal{L}) = 0$  by [La2, Ex. 7.3.16] and the conditions in (b) since  $\mathcal{F}$  is globally generated by Lemma 4.1(i). This proves case (b).  $\square$

In the case of a hypersurface, the following result guarantees that  $Z$  is connected.

**Lemma 4.4.** *Let  $X \subset \mathbb{P}^{n+1}$  be a general smooth hypersurface of degree  $d \geq 2$  and let  $\mathcal{E}$  be a rank  $r$  Ulrich bundle. Let  $n, r, Z$  be as in Setup 3.1 and assume that  $Z \neq \emptyset$ . If*

$$(4.3) \quad \binom{d+n+1-r}{n+1-r} \geq r(n+2-r) + 1$$

then  $Z$  is smooth and irreducible.

*Proof.* Since  $X$  is general of degree  $d$  as above,  $X$  does not contain linear subspaces of dimension  $n+1-r$ . Hence  $\mathcal{E}$  is  $(n-r)$ -ample by [LR2, Thm. 1] and therefore  $Z$  is smooth and irreducible by Lemma 3.3(ii).  $\square$

*Remark 4.5.* For later purposes we note that (4.3) holds for  $n = 8$  when  $r = 6, d \geq 4$  or when  $r = 7, d \geq 6$ .

## 5. INVARIANTS OF HYPERSURFACES AND THEIR ULRICH BUNDLES

In this section we will collect some invariants of hypersurfaces that will be used to prove our main theorem.

We start with a well-known, very useful, fact.

**Proposition 5.1.** *Let  $X \subset \mathbb{P}^{n+1}$  be a smooth irreducible hypersurface of dimension  $n \geq 2$ , degree  $d$  with hyperplane section  $H$ . For  $0 \leq i \leq n$  we have:*

- (i)  $H^{2i}(X, \mathbb{Z}) \cong \mathbb{Z}H^i$  for  $i < \frac{n}{2}$ .
- (ii)  $H^{2i}(X, \mathbb{Z}) \cong \mathbb{Z}^{\frac{1}{d}}H^i$  for  $i > \frac{n}{2}$ .
- (iii) *If  $n$  is even,  $n \geq 3$ ,  $X$  is very general and  $d \geq 3$ , then any algebraic class in  $H^n(X, \mathbb{Z})$  is of type  $aH^{\frac{n}{2}}$  for some  $a \in \mathbb{Z}$ .*

*Proof.* (i) follows by Lefschetz's hyperplane theorem, while (ii) follows by (i) and Poincaré's duality (see for example [Hu, Ex. 1.2]). (iii) follows by Deligne's version of the Noether-Lefschetz's theorem (see for example [Sp, Thm. 1.1]).  $\square$

We will use the following consequence of the above proposition.

**Corollary 5.2.** *Let  $n \geq 3$ , let  $X \subset \mathbb{P}^{n+1}$  be a smooth irreducible hypersurface of degree  $d$  and let  $\mathcal{E}$  be a globally generated vector bundle on  $X$ . For  $i = \frac{n}{2}$ , assume that one of the following holds:*

- (a)  *$X$  is hyperplane section of a smooth hypersurface  $X' \subset \mathbb{P}^{n+2}$  and  $\mathcal{E} = \mathcal{E}'|_X$ , where  $\mathcal{E}'$  is a vector bundle on  $X'$ , or*
- (b)  *$X$  is very general and  $d \geq 3$ .*

*Then, for all  $1 \leq i \leq \frac{n}{2}$  (respectively  $\frac{n}{2} < i \leq n$ ), there exist  $e_i \in \mathbb{Z}$  (resp.  $e_i \in \mathbb{Q}$ ) such that  $c_i(\mathcal{E}) = e_i H^i$  on  $H^{2i}(X, \mathbb{Z})$  (resp. on  $H^{2i}(X, \mathbb{Q})$ ).*

*Proof.* In fact, if  $i \neq \frac{n}{2}$ , the conclusion follows by Proposition 5.1(i)-(ii). Now suppose that  $n$  is even and  $i = \frac{n}{2}$ . Under hypothesis (a), we have that  $c_i(\mathcal{E}') = e_i(H')^i$  on  $X'$ , for some  $e_i \in \mathbb{Z}$  and  $H' \in |\mathcal{O}_{X'}(1)|$  by Proposition 5.1(i). Hence also  $c_i(\mathcal{E}) = c_i(\mathcal{E}'|_X) = e_i H^i$ . Under hypothesis (b), using Proposition 5.1(iii), all we need to observe is that  $c_i(\mathcal{E})$  is algebraic. Even though the latter fact is well-known, we add a proof for completeness' sake. We can assume that  $c_i(\mathcal{E}) \neq 0$ , so that  $r := \text{rank } \mathcal{E} \geq i$ . Let  $\varphi : \mathcal{O}_X^{\oplus(r-i+1)} \rightarrow \mathcal{E}$  be a general morphism and consider its degeneracy locus  $D_{r-i}(\varphi)$ . Observe that  $D_{r-i}(\varphi) \neq \emptyset$ , for otherwise we have an exact sequence

$$0 \rightarrow \mathcal{O}_X^{\oplus(r-i+1)} \rightarrow \mathcal{E} \rightarrow \mathcal{F} \rightarrow 0$$

where also  $\mathcal{F}$  is a vector bundle of rank  $i-1$ . But then  $c_i(\mathcal{E}) = c_i(\mathcal{F}) = 0$ , a contradiction. Therefore  $c_i(\mathcal{E}) = [D_{r-i}(\varphi)]$  is algebraic and we are done.  $\square$

Next, we compute the Chern classes of hypersurfaces.

**Lemma 5.3.** *Let  $X \subset \mathbb{P}^{n+1}$  be a smooth irreducible hypersurface of degree  $d$  with hyperplane section  $H$ . Then we have  $c_i(X) = [\sum_{k=0}^i (-1)^{i-k} \binom{n+2}{k} d^{i-k}] H^i$  for all  $1 \leq i \leq n$ .*

*Proof.* From the Euler sequence

$$0 \rightarrow \mathcal{O}_X \rightarrow \mathcal{O}_X(1)^{\oplus(n+2)} \rightarrow T_{\mathbb{P}^{n+1}|_X} \rightarrow 0$$

we find that  $c_i(T_{\mathbb{P}^{n+1}|_X}) = \binom{n+2}{i} H^i$  and the normal bundle sequence

$$0 \rightarrow T_X \rightarrow T_{\mathbb{P}^{n+1}|_X} \rightarrow \mathcal{O}_X(d) \rightarrow 0$$

gives, for  $i \geq 1$ , that  $c_i(X) = \binom{n+2}{i} H^i - d H c_{i-1}(X)$ . Now the statement follows by induction on  $i$ .  $\square$

We now compute Chern classes of Ulrich bundles on hypersurfaces.

**Lemma 5.4.** *Let  $n \geq 3$  and let  $X \subset \mathbb{P}^{n+1}$  be a smooth irreducible hypersurface of degree  $d$  with hyperplane section  $H$ . Let  $\mathcal{E}$  be a rank  $r$  Ulrich bundle on  $X$ . If  $i \leq \frac{n}{2}$  consider  $c_i(\mathcal{E}) \in H^{2i}(X, \mathbb{Z})$ , if  $i > \frac{n}{2}$  consider  $c_i(\mathcal{E}) \in H^{2i}(X, \mathbb{Q})$ ; if  $i = \frac{n}{2}$  assume in addition that either (a) or (b) of Corollary 5.2 holds. Then:*

- (1)  $c_1(\mathcal{E}) = \frac{r}{2}(d-1)H$ .
- (2)  $c_2(\mathcal{E}) = \frac{r}{24}(d-1)(3rd-2d-3r+4)H^2$ .
- (3)  $c_3(\mathcal{E}) = \frac{r}{48}(r-2)(d-1)^2(dr-r+2)H^3$ .
- (4)  $c_4(\mathcal{E}) = \frac{r}{5760}(d-1)[(15r^3-60r^2+20r+48)d^3 - (45r^3-240r^2+340r-48)d^2 + (45r^3-300r^2+640r-432)d - 15r^3+120r^2-320r+288]H^4$
- (5) If  $r=5$ ,  $c_5(\mathcal{E}) = \frac{1}{2304}(d-1)^2(5d-1)(23d^2-54d+19)H^5$ .
- (6) If  $r=6$ ,  $c_5(\mathcal{E}) = \frac{1}{40}(d-1)^2(2d-1)(2d-3)(3d-1)H^5$ .
- (7) If  $r=6$ ,  $c_6(\mathcal{E}) = \frac{1}{1680}(d-1)(2d-1)(3d-1)(6d-1)(5-3d+2d^2)H^6$ .
- (8) If  $r=7$ ,  $c_5(\mathcal{E}) = \frac{1}{3840}(d-1)^2(7d-3)(59-150d+79d^2)$
- (9) If  $r=7$ ,  $c_6(\mathcal{E}) = \frac{1}{414720}(d-1)(-13837+119975d-375310d^2+524330d^3-330853d^4+87215d^5)H^6$ .
- (10) If  $r=7$ ,  $c_7(\mathcal{E}) = \frac{1}{829440}(d-1)^2(7d-1)(913-5620d+10170d^2-6380d^3+2837d^4)H^7$ .

*Proof.* We use Lemma 5.3 and Corollary 5.2. The formulas (1)-(4) follow by using Lemma 5.3 and Lemma 4.1(iv), (vii), (ix), (x) (see [M5, Out(29), Out(35), Out(41)]). Formula (5) (respectively (6)-(7), resp. (8)-(10)) follows by restricting to  $X_5$  (resp. to  $X_6$ ; resp. to  $X_7$ ), using Lemma 4.1(xi)-(xiii) (see [M2, Out(43)], [M3, Out(124), Out(130)], [M4, Out(87), Out(93), Out(99)]).  $\square$

## 6. PROOF OF THEOREM 1

We will prove the theorem by using the degeneracy locus introduced in section 3.

In order to simplify statements we will use the following

### Setup 6.1.

- $X \subset \mathbb{P}^{n+1}$  is a smooth irreducible hypersurface of degree  $d \geq 3$  with hyperplane section  $H$ .
- $\mathcal{E}$  is a rank  $r$  Ulrich bundle on  $X$ .
- $V \subset H^0(\mathcal{E})$  is a general subspace of dimension 2, giving rise to  $\varphi : V \otimes \mathcal{O}_X \rightarrow \mathcal{E}$ .
- $Z = D_1(\varphi)$  is the corresponding degeneracy locus,  $H_Z = H|_Z$ .

The next goal is to compute the necessary invariants of  $Z$ .

First, we do it in dimension 6.

**Lemma 6.2.** *Notation as in Setup 6.1 with  $n=6$ .*

*If  $r=4$ , the following hold:*

- (i)  $Z$  is a smooth irreducible threefold.
- (ii)  $\deg Z = \frac{d}{3}(d-1)^2(2d-1)$ .
- (iii)  $2c_2(Z) = -\frac{4}{3}(2d-5)(5d-19)H_Z^2 + (8d-22)K_Z H_Z$ .

*Moreover we have a resolution*

$$(6.1) \quad 0 \rightarrow \mathcal{O}_X^{\oplus 3} \rightarrow \mathcal{E}^{\oplus 2} \rightarrow \Lambda^2 \mathcal{E} \rightarrow \mathcal{J}_{Z/X}(2d-2) \rightarrow 0$$

*If  $r=5$ , the following hold:*

- (iv)  $Z$  is a smooth irreducible surface.
- (v)  $\deg Z = \frac{d}{1152}(d-1)(-187+893d-1277d^2+523d^3)$ .
- (vi)  $K_Z^2 = (7d-21)K_Z H_Z - \frac{1}{4}(7d-21)^2 \deg Z$ .
- (vii)  $3c_2(Z) = -\frac{1}{8}(195d^2-1132d+1609) \deg Z + (13d-34)K_Z H_Z$ .

*Moreover we have a resolution*

$$(6.2) \quad 0 \rightarrow \mathcal{O}_X^{\oplus 4} \rightarrow \mathcal{E}^{\oplus 3} \rightarrow (\Lambda^2 \mathcal{E})^{\oplus 2} \rightarrow \Lambda^3 \mathcal{E} \rightarrow \mathcal{J}_{Z/X}\left(\frac{5}{2}(d-1)\right) \rightarrow 0$$

*Proof.* Since  $(X_3, \mathcal{E}|_{X_3})$  satisfies (a) of Corollary 5.2, we get by Lemma 5.4(3), that

$$(6.3) \quad c_3(\mathcal{E})H^3 = c_3(\mathcal{E}|_{X_3}) = \frac{d}{3}(d-1)^2(2d-1)$$

so that, in particular,  $c_3(\mathcal{E}) \neq 0$ . It follows by Lemma 3.3 that  $Z \neq \emptyset$ , hence Lemma 3.2 applies. Therefore  $[Z] = c_3(\mathcal{E})$ ,  $Z$  is a smooth threefold and (ii) follows by (6.3). We get (6.1) by (3.3) and



Lemma 5.4(1). Moreover, from (3.2), we get (iii) using Lemmas 5.3 and 5.4(1)-(2). Also,  $Z$  is irreducible by Lemma 4.3(a), proving (i). Next, (iv)-(vii) and (6.2) are proved in the same way using the same lemmas.  $\square$

Next, we do it in dimension 8.

**Lemma 6.3.** *Notation as in Setup 6.1 with  $n = 8$ .*

*If  $r = 6$ , the following hold:*

- (i)  $Z$  is a smooth threefold.
- (ii)  $\deg Z = \frac{d}{40}(d-1)^2(2d-1)(2d-3)(3d-1)$ .
- (iii)  $4c_2(Z) = -(393 - 253d + 40d^2)H_Z^2 + (19d - 55)K_Z H_Z$ .

*Moreover we have a resolution*

$$(6.4) \quad 0 \rightarrow \mathcal{O}_X^{\oplus 5} \rightarrow \mathcal{E}^{\oplus 4} \rightarrow (\Lambda^2 \mathcal{E})^{\oplus 3} \rightarrow (\Lambda^3 \mathcal{E})^{\oplus 2} \rightarrow \Lambda^4 \mathcal{E} \rightarrow \mathcal{J}_{Z/X}(3d-3) \rightarrow 0$$

*If  $r = 7$ , the following hold:*

- (iv)  $Z$  is a smooth surface.
- (v)  $\deg Z = \frac{d}{414720}(d-1)(-13837 + 119975d - 375310d^2 + 524330d^3 - 330853d^4 + 87215d^5)$ .
- (vi)  $K_Z^2 = (9d-27)K_Z H_Z - \frac{1}{4}(9d-27)^2 \deg Z$ .
- (vii)  $5c_2(Z) = -\frac{1}{24}(12529 - 8592d + 1463d^2) \deg Z + (26d-71)K_Z H_Z$ .

*Moreover we have a resolution*

$$(6.5) \quad 0 \rightarrow \mathcal{O}_X^{\oplus 6} \rightarrow \mathcal{E}^{\oplus 5} \rightarrow (\Lambda^2 \mathcal{E})^{\oplus 4} \rightarrow (\Lambda^3 \mathcal{E})^{\oplus 3} \rightarrow (\Lambda^4 \mathcal{E})^{\oplus 2} \rightarrow \Lambda^5 \mathcal{E} \rightarrow \mathcal{J}_{Z/X}\left(\frac{7}{2}(d-1)\right) \rightarrow 0$$

*Proof.* Similar to the proof of Lemma 6.2.  $\square$

*Remark 6.4.* If  $X$  is general and  $r = 6, d \geq 4$  or  $r = 7, d \geq 6$ , we have, by Lemma 4.4 and Remark 4.5, that  $Z$  in Lemma 6.3 is irreducible. However this is not needed for our purposes, see Remark 6.5.

We are now ready for the proof of the main theorem.

*Proof of Theorem 1.* Let  $X \subset \mathbb{P}^{n+1}$  be a smooth hypersurface of degree  $d \geq 3$  with hyperplane section  $H$  and let  $\mathcal{E}$  be a rank  $r$  Ulrich bundle on  $X$ . Under the hypotheses of the theorem, it follows by [LR3, Thm. 2] that  $\text{Uc}(X) \geq 4$ , hence we need to consider the cases  $r = 4, 5, 6, 7$ .

Let  $V \subset H^0(\mathcal{E})$  be a general subspace of dimension 2, giving rise to  $\varphi : V \otimes \mathcal{O}_X \rightarrow \mathcal{E}$  and let

$$Z = D_1(\varphi)$$

be the corresponding degeneracy locus, with  $H_Z = H|_Z$ .

The plan is to compute the Euler characteristic of  $Z$  in two different ways and get a contradiction. In order to do this, we distinguish two cases.

When  $Z$  is a surface, we will use the formula below, that follows by Riemann-Roch,

$$(6.6) \quad K_Z H_Z = -2\chi(\mathcal{O}_Z(1)) + 2\chi(\mathcal{O}_Z) + \deg(Z)$$

together with (6.2), Lemma 6.2(v)-(vii) and Lemma 6.3(v)-(vii).

When  $Z$  is a threefold, we will use the formulas below, that follow again by Riemann-Roch,

$$(6.7) \quad K_Z H_Z^2 = 4\chi(\mathcal{O}_Z(1)) - 2\chi(\mathcal{O}_Z(2)) - 2\chi(\mathcal{O}_Z) + 2 \deg(Z)$$

and

$$(6.8) \quad K_Z^2 H_Z + H_Z c_2(Z) = 12\chi(\mathcal{O}_Z(1)) - 12\chi(\mathcal{O}_Z) - 2 \deg(Z) + 3K_Z H_Z^2.$$

together with (6.1), (6.4), Lemma 6.2(ii)-(iii) and Lemma 6.3(ii)-(iii).

Now suppose that  $r = 4$ .

If  $n \geq 7$  we have that  $\mathcal{E}|_{X_6}$  is a rank 4 Ulrich bundle on  $X_6 \subset \mathbb{P}^7$  by Lemma 4.1(v), therefore condition (a) of Corollary 5.2 is satisfied for  $(X_6, \mathcal{E}|_{X_6})$ . Hence, in order to show that there is no rank 4 Ulrich bundle on  $X$ , we can assume that  $n = 6$  and that  $X$  satisfies either (a) or (b) of Corollary 5.2.

Let  $m \in \mathbb{Z}$ . First, from

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^7}(m-d) \rightarrow \mathcal{O}_{\mathbb{P}^7}(m) \rightarrow \mathcal{O}_X(m) \rightarrow 0$$



we have that

$$(6.9) \quad \chi(\mathcal{O}_X(m)) = \chi(\mathcal{O}_{\mathbb{P}^7}(m)) - \chi(\mathcal{O}_{\mathbb{P}^7}(m-d)) = \binom{m+7}{7} - \binom{m-d+7}{7}.$$

From (6.1) we find

$$0 \rightarrow \mathcal{O}_X^{\oplus 3}(m-2d+2) \rightarrow \mathcal{E}^{\oplus 2}(m-2d+2) \rightarrow (\Lambda^2 \mathcal{E})(m-2d+2) \rightarrow \mathcal{J}_{Z/X}(m) \rightarrow 0.$$

Using the above, (6.9) and Lemma 4.1(viii), we get

$$(6.10) \quad \begin{aligned} \chi(\mathcal{O}_Z(m)) &= \chi(\mathcal{O}_X(m)) - \chi(\mathcal{J}_{Z/X}(m)) = \\ &= \chi(\mathcal{O}_X(m)) - \chi((\Lambda^2 \mathcal{E})(m-2d+2)) + 2\chi(\mathcal{E}(m-2d+2)) - 3\chi(\mathcal{O}_X(m-2d+2)). \\ &= \binom{m+7}{7} - \binom{m-d+7}{7} - \chi((\Lambda^2 \mathcal{E})(m-2d+2)) + 8d \binom{m-2d+8}{6} \\ &\quad - 3 \binom{m-2d+9}{7} + 3 \binom{m-3d+9}{7}. \end{aligned}$$

Using Lemmas 5.3, 5.4, the expression of  $\chi((\Lambda^2 \mathcal{E})(m-2d+2))$  is computed in the Appendix, Lemma C.1(1). Setting  $m=0$  in (6.10), we find (see [M1, Out(103)]),

$$(6.11) \quad \chi(\mathcal{O}_Z) = -\frac{d}{340200}(d-1)(2d-1)(2303699 - 4840923d + 3320849d^2 - 947157d^3 + 97472d^4).$$

Similarly, setting  $m=1, 2$ , we get (see [M1, Out(105), Out(107)]),

$$\chi(\mathcal{O}_Z(1)) = -\frac{d}{340200}(d-1)(2d-1)(4034939 - 7679703d + 4543679d^2 - 1107807d^3 + 97472d^4)$$

and

$$\chi(\mathcal{O}_Z(2)) = -\frac{d}{340200}(d-1)(2d-1)(6454139 - 11403003d + 5951729d^2 - 1268457d^3 + 97472d^4).$$

Using the above, Lemma 6.2(ii), (6.7) and (6.8) we have (see [M1, Out(110), Out(112)]),

$$K_Z H_Z^2 = \frac{d}{45}(d-1)(2d-1)(152 - 204d + 49d^2)$$

and

$$K_Z^2 H_Z + H_Z c_2(Z) = \frac{d}{15}(d-1)(2d-1)(-754 + 1288d - 598d^2 + 85d^3).$$

Next, using the above and Lemma 6.2(ii)-(iii) (see [M1, Out(114), Out(116)]), we have

$$H_Z c_2(Z) = \frac{d}{45}(d-1)(2d-1)(-722 + 1272d - 625d^2 + 96d^3)$$

and

$$K_Z^2 H_Z = \frac{d}{45}(d-1)(2d-1)(3d-10)(154 - 213d + 53d^2).$$

Using the above and Lemma 6.2(iii) we find (see [M1, Out(118)]),

$$K_Z c_2(Z) = \frac{d}{135}(d-1)(2d-1)(21940 - 46104d + 31627d^2 - 9021d^3 + 928d^4).$$

Since  $\chi(\mathcal{O}_Z) = -\frac{1}{24}K_Z c_2(Z)$ , equating with (6.11) (see [M1, Out(120)]) gives the contradiction

$$(-1+d)d(1+d)(-1+2d)(1+2d)(-1+4d)(1+4d) = 0.$$

Next, suppose that  $r=5$ .

Arguing as in the beginning of the case  $r=4$ , we can assume that  $n=6$  and that  $X$  satisfies either (a) or (b) of Corollary 5.2.

From (6.2), setting  $u = \frac{5}{2}(d-1)$ , we find

$$0 \rightarrow \mathcal{O}_X(m-u)^{\oplus 4} \rightarrow \mathcal{E}(m-u)^{\oplus 3} \rightarrow (\Lambda^2 \mathcal{E})(m-u)^{\oplus 2} \rightarrow (\Lambda^3 \mathcal{E})(m-u) \rightarrow \mathcal{J}_{Z/X}(m) \rightarrow 0.$$

Using the above, (6.9) and Lemma 4.1(viii) one gets

$$(6.12) \quad \chi(\mathcal{O}_Z(m)) = \binom{m+7}{7} - \binom{m-d+7}{7} - \chi((\Lambda^3\mathcal{E})(m-u)) + 2\chi((\Lambda^2\mathcal{E})(m-u)) \\ - 15d \binom{m-u+6}{6} + 4 \binom{m-u+7}{7} - 4 \binom{m-u-d+7}{7}.$$

Using Lemmas 5.3, 5.4, the expressions of  $\chi((\Lambda^2\mathcal{E})(m-u))$  and  $\chi((\Lambda^3\mathcal{E})(m-u))$  are computed in the Appendix, Lemma C.2(1)-(2). Setting  $m=0$  in (6.12), we find (see [M2, Out(53)]),

$$(6.13) \quad \chi(\mathcal{O}_Z) = \frac{d}{1548288}(d-1)(-3500495 + 19507441d - 37476458d^2 + 30435862d^3 - 10691399d^4 + 1349497d^5).$$

Similarly one gets (see [M2, Out(55)])

$$\chi(\mathcal{O}_Z(1)) = \frac{d}{1548288}(d-1)(-4964783 + 27017713d - 49890986d^2 + 37892374d^3 - 12037415d^4 + 1349497d^5).$$

Using (6.6) and Lemma 6.2(v), we get (see [M2, Out(57)]),

$$K_Z H_Z = \frac{d}{1152}(d-1)(1992 - 10283d + 17197d^2 - 10573d^3 + 2003d^4).$$

Now, using the above and Lemma 6.2(v)-(vii)(see [M2, Out(59), Out(61)]) we find

$$K_Z^2 = \frac{7d}{4608}(d-3)(d-1)(4041 - 21070d + 35720d^2 - 22370d^3 + 4351d^4)$$

and

$$c_2(Z) = \frac{d}{27648}(d-1)(-240941 + 1355623d - 2644982d^2 + 2203138d^3 - 803357d^4 + 106327d^5).$$

Since  $\chi(\mathcal{O}_Z) = \frac{1}{12}(K_Z^2 + c_2(Z))$ , equating with (6.13) (see [M2, Out(63)]), one gets the contradiction

$$(-1+d)d(1+d)(-1+5d)(1+5d)(-13+61d^2) = 0.$$

Now, suppose that  $r=6$ .

Arguing as in the beginning of the case  $r=4$ , we can assume that  $n=8$  and that  $X$  satisfies either (a) or (b) of Corollary 5.2.

Let  $m \in \mathbb{Z}$ . First, from

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^9}(m-d) \rightarrow \mathcal{O}_{\mathbb{P}^9}(m) \rightarrow \mathcal{O}_X(m) \rightarrow 0$$

we have that

$$(6.14) \quad \chi(\mathcal{O}_X(m)) = \chi(\mathcal{O}_{\mathbb{P}^9}(m)) - \chi(\mathcal{O}_{\mathbb{P}^9}(m-d)) = \binom{m+9}{9} - \binom{m-d+9}{9}.$$

From (6.4), we find

$$0 \rightarrow \mathcal{O}_X^{\oplus 5}(m-3d+3) \rightarrow \mathcal{E}^{\oplus 4}(m-3d+3) \rightarrow (\Lambda^2\mathcal{E})^{\oplus 3}(m-3d+3) \rightarrow (\Lambda^3\mathcal{E})^{\oplus 2}(m-3d+3) \rightarrow \\ \rightarrow (\Lambda^4\mathcal{E})(m-3d+3) \rightarrow \mathcal{J}_{Z/X}(m) \rightarrow 0.$$

Using the above, (6.14) and Lemma 4.1(viii), we get

$$(6.15) \quad \chi(\mathcal{O}_Z(m)) = \binom{m+9}{9} - \binom{m-d+9}{9} - \chi((\Lambda^4\mathcal{E})(m-3d+3)) + 2\chi((\Lambda^3\mathcal{E})(m-3d+3)) \\ - 3\chi((\Lambda^2\mathcal{E})(m-3d+3)) - 24d \binom{m-3d+11}{8} + 5 \binom{m-3d+12}{9} - 5 \binom{m-4d+12}{9}.$$

Using Lemmas 5.3, 5.4, the expressions of  $\chi((\Lambda^i\mathcal{E})(m-3d+3))$ ,  $2 \leq i \leq 4$  are computed in the Appendix, Lemma C.3. Setting  $m=0$  in (6.15), we find (see [M3, Out(144)]),

$$(6.16) \quad \chi(\mathcal{O}_Z) = -\frac{d}{84672000}(d-1)(2d-1)(3d-1)(-287792399 + 809751606d - 812826025d^2 + 397479390d^3 \\ - 96129996d^4 + 9172584d^5).$$

Similarly, setting  $m = 1, 2$ , we get (see [M3, Out(147), Out(150)]),

$$\chi(\mathcal{O}_Z(1)) = -\frac{d}{84672000}(d-1)(2d-1)(3d-1)(-445115999 + 1180443606d - 1099476025d^2 + 492231390d^3 - 107520396d^4 + 9172584d^5)$$

and

$$\chi(\mathcal{O}_Z(2)) = -\frac{d}{84672000}(d-1)(2d-1)(3d-1)(-650571599 + 1646542806d - 1441062025d^2 + 596660190d^3 - 118910796d^4 + 9172584d^5).$$

Using the above, Lemma 6.3(ii), (6.7) and (6.8) we have (see [M3, Out(153), Out(155)]),

$$K_Z H_Z^2 = \frac{d}{840}(d-1)(2d-1)(3d-1)(-829 + 1683d - 1006d^2 + 192d^3)$$

and

$$K_Z^2 H_Z + H_Z c_2(Z) = \frac{d}{280}(d-1)(2d-1)(3d-1)(5372 - 12957d + 10341d^2 - 3568d^3 + 452d^4).$$

Next, using the above and Lemma 6.3(ii)-(iii)(see [M3, Out(158), Out(161)]), we have

$$H_Z c_2(Z) = \frac{d}{840}(d-1)(2d-1)(3d-1)(5209 - 12778d + 10429d^2 - 3712d^3 + 492d^4)$$

and

$$K_Z^2 H_Z = \frac{d}{840}(d-1)(2d-1)(3d-1)(4d-13)(-839 + 1749d - 1046d^2 + 216d^3).$$

Using the above and Lemma 6.3(iii) we find (see [M3, Out(164)]),

$$K_Z c_2(Z) = \frac{d}{420}(d-1)(2d-1)(3d-1)(-34261 + 96399d - 96765d^2 + 47319d^3 - 11444d^4 + 1092d^5).$$

Since  $\chi(\mathcal{O}_Z) = -\frac{1}{24}K_Z c_2(Z)$ , equating with (6.16) (see [M3, Out(166)]) gives the contradiction

$$(-1+d)d(1+d)(-1+2d)(1+2d)(-1+3d)(1+3d)(-1+6d)(1+6d) = 0.$$

Finally, suppose that  $r = 7$ .

Arguing as in the beginning of the case  $r = 4$ , we can assume that  $n = 8$  and that  $X$  satisfies either (a) or (b) of Corollary 5.2.

From (6.5), setting  $u = \frac{7}{2}(d-1)$ , we find

$$\begin{aligned} 0 \rightarrow \mathcal{O}_X(m-u)^{\oplus 6} \rightarrow \mathcal{E}(m-u)^{\oplus 5} \rightarrow (\Lambda^2 \mathcal{E})(m-u)^{\oplus 4} \rightarrow (\Lambda^3 \mathcal{E})(m-u)^{\oplus 3} \rightarrow \\ \rightarrow (\Lambda^4 \mathcal{E})(m-u)^{\oplus 2} \rightarrow (\Lambda^5 \mathcal{E})(m-u) \rightarrow \mathcal{J}_{Z/X}(m) \rightarrow 0. \end{aligned}$$

Using the above, (6.14) and Lemma 4.1(viii) one gets

(6.17)

$$\begin{aligned} \chi(\mathcal{O}_Z(m)) = \binom{m+9}{9} - \binom{m-d+9}{9} - \chi((\Lambda^5 \mathcal{E})(m-u)) + 2\chi((\Lambda^4 \mathcal{E})(m-u)) - 3\chi((\Lambda^3 \mathcal{E})(m-u)) \\ + 4\chi((\Lambda^2 \mathcal{E})(m-u)) - 35d \binom{m-u+8}{8} + 6 \binom{m-u+9}{9} - 6 \binom{m-u-d+9}{9}. \end{aligned}$$

Using Lemmas 5.3, 5.4, the expressions of  $\chi((\Lambda^i \mathcal{E})(m-u))$ ,  $2 \leq i \leq 5$  are computed in the Appendix, Lemma C.4. Setting  $m = 0$  in (6.17), we find (see [M4, Out(115)]),

(6.18)

$$\begin{aligned} \chi(\mathcal{O}_Z) = \frac{d(d-1)}{28665446400}(-22024437079 + 208787633321d - 751494758379d^2 + 1321535623701d^3 \\ - 1237566062181d^4 + 646601246619d^5 - 177940027481d^6 \\ + 19863510439d^7). \end{aligned}$$

Similarly one gets (see [M4, Out(117)])

$$\chi(\mathcal{O}_Z(1)) = \frac{d(d-1)}{28665446400}(-29037317719 + 272178069161d - 963544031979d^2 + 1653635796501d^3 - 1495712707941d^4 + 747580244379d^5 - 193219521881d^6 + 19863510439d^7).$$

Using (6.6) and Lemma 6.3(v), we get (see [M4, Out(121)]),

$$K_Z H_Z = \frac{d(d-1)}{414720}(189082 - 1714239d + 5760375d^2 - 9085050d^3 + 7138668d^4 - 2834631d^5 + 442115d^6).$$

Now, using the above and Lemma 6.3(v)-(vii)(see [M4, Out(123), Out(125)]) we find

$$K_Z^2 = \frac{d(d-1)}{184320}(d-3)(382729 - 3493098d + 11828355d^2 - 18805500d^3 + 14902671d^4 - 6006042d^5 + 983525d^6)$$

and

$$c_2(Z) = \frac{d(d-1)}{9953280}(-29766391 + 283399229d - 1026407283d^2 + 1821176337d^3 - 1726796469d^4 + 916447911d^5 - 257756897d^6 + 29656843d^7).$$

Since  $\chi(\mathcal{O}_Z) = \frac{1}{12}(K_Z^2 + c_2(Z))$ , equating with (6.18) (see [M4, Out(127)]), one gets the contradiction

$$d(-1+d)(1+d)(-1+7d)(1+7d)(281 - 4210d^2 + 12569d^4) = 0.$$

This concludes the proof of the theorem.  $\square$

*Remark 6.5.* In the above calculations, we used the formulas  $\chi(\mathcal{O}_Z) = \frac{1}{12}(c_1(Z)^2 + c_2(Z))$  for a smooth surface  $Z$  and  $\chi(\mathcal{O}_Z) = \frac{1}{24}c_1(Z)c_2(Z)$  for a smooth threefold  $Z$ . We point out that these formulas make sense even though  $Z$  might be disconnected (and so do the formulas (6.6), (6.7), (6.8) and the other formulas used).

As a matter of fact, let  $Z = Z_1 \sqcup \dots \sqcup Z_s$  be the decomposition into connected components and let  $j_k : Z_k \hookrightarrow Z$  be the inclusion, for  $1 \leq k \leq s$ .

We have that  $\mathcal{O}_Z \cong \mathcal{O}_{Z_1} \oplus \dots \oplus \mathcal{O}_{Z_s}$  and, by [Ha, Rmk. II.8.9.2],  $T_Z \cong T_{Z_1} \oplus \dots \oplus T_{Z_s}$ . Therefore  $\chi(\mathcal{O}_Z) = \sum_{i=1}^s \chi(\mathcal{O}_{Z_i})$ . So, for example if  $Z$  is a smooth surface, we get

$$(6.19) \quad \chi(\mathcal{O}_Z) = \frac{1}{12} \sum_{i=1}^s (c_1(Z_i)^2 + c_2(Z_i)) = \frac{1}{12} \left( \sum_{i=1}^s c_1(Z_i)^2 + \sum_{i=1}^s c_2(Z_i) \right).$$

On the other hand, consider for  $p = 1, 2$ , the isomorphism  $H^{2p}(Z, \mathbb{Z}) \cong H^{2p}(Z_1, \mathbb{Z}) \oplus \dots \oplus H^{2p}(Z_s, \mathbb{Z})$  given by  $j_1^* \oplus \dots \oplus j_s^*$ . Then

$$(6.20) \quad \begin{aligned} c_p(Z) &= c_p(T_Z) = j_1^* c_p(T_Z) + \dots + j_s^* c_p(T_Z) = c_p(j_1^* T_Z) + \dots + c_p(j_s^* T_Z) = c_p(T_{Z_1}) + \dots + c_p(T_{Z_s}) \\ &= c_p(Z_1) + \dots + c_p(Z_s). \end{aligned}$$

Since, by definition of cup product, we have that  $\alpha\beta = 0$  if  $\alpha \in H^{2p}(Z_k, \mathbb{Z}), \beta \in H^{2q}(Z_h, \mathbb{Z})$  with  $k \neq h$ , we deduce that

$$c_1(Z)^2 = c_1(Z_1)^2 + \dots + c_1(Z_s)^2$$

and therefore, by (6.19) and (6.20),

$$\chi(\mathcal{O}_Z) = \frac{1}{12} \left( \sum_{i=1}^s c_1(Z_i)^2 + \sum_{i=1}^s c_2(Z_i) \right) = \frac{1}{12} (c_1(Z)^2 + c_2(Z)).$$

A similar calculation can be done when  $Z$  is a smooth threefold or for the other formulas.

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## APPENDIX A. CHERN CLASSES OF EXTERIOR POWERS

**Lemma A.1.** *Let  $\mathcal{F}$  be a rank 4 vector bundle on a smooth variety  $X$ . Then:*

- (1)  $c_1(\Lambda^2 \mathcal{F}) = 3c_1(\mathcal{F})$ .
- (2)  $c_2(\Lambda^2 \mathcal{F}) = 3c_1(\mathcal{F})^2 + 2c_2(\mathcal{F})$ .
- (3)  $c_3(\Lambda^2 \mathcal{F}) = c_1(\mathcal{F})^3 + 4c_1(\mathcal{F})c_2(\mathcal{F})$ .
- (4)  $c_4(\Lambda^2 \mathcal{F}) = 2c_1(\mathcal{F})^2c_2(\mathcal{F}) + c_2(\mathcal{F})^2 + c_1(\mathcal{F})c_3(\mathcal{F}) - 4c_4(\mathcal{F})$ .
- (5)  $c_5(\Lambda^2 \mathcal{F}) = c_1(\mathcal{F})c_2(\mathcal{F})^2 + c_1(\mathcal{F})^2c_3(\mathcal{F}) - 4c_1(\mathcal{F})c_4(\mathcal{F})$ .
- (6)  $c_6(\Lambda^2 \mathcal{F}) = c_1(\mathcal{F})c_2(\mathcal{F})c_3(\mathcal{F}) - c_3(\mathcal{F})^2 - c_1(\mathcal{F})^2c_4(\mathcal{F})$ .

*Proof.* See Out(80), Out(82), Out(84), Out(86), Out(88), Out(90) in [M1]. □

**Lemma A.2.** *Let  $\mathcal{F}$  be a rank 5 vector bundle on a smooth variety  $X$ . Then:*

- (1)  $c_1(\Lambda^2 \mathcal{F}) = 4c_1(\mathcal{F})$ .
- (2)  $c_2(\Lambda^2 \mathcal{F}) = 6c_1(\mathcal{F})^2 + 3c_2(\mathcal{F})$ .
- (3)  $c_3(\Lambda^2 \mathcal{F}) = 4c_1(\mathcal{F})^3 + 9c_1(\mathcal{F})c_2(\mathcal{F}) + c_3(\mathcal{F})$ .
- (4)  $c_4(\Lambda^2 \mathcal{F}) = c_1(\mathcal{F})^4 + 9c_1(\mathcal{F})^2c_2(\mathcal{F}) + 3c_2(\mathcal{F})^2 + 4c_1(\mathcal{F})c_3(\mathcal{F}) - 3c_4(\mathcal{F})$ .
- (5)  $c_5(\Lambda^2 \mathcal{F}) = 3c_1(\mathcal{F})^3c_2(\mathcal{F}) + 6c_1(\mathcal{F})c_2(\mathcal{F})^2 + 5c_1(\mathcal{F})^2c_3(\mathcal{F}) + 2c_2(\mathcal{F})c_3(\mathcal{F}) - 5c_1(\mathcal{F})c_4(\mathcal{F}) - 11c_5(\mathcal{F})$ .
- (6)  $c_6(\Lambda^2 \mathcal{F}) = 3c_1(\mathcal{F})^2c_2(\mathcal{F})^2 + c_2(\mathcal{F})^3 + 2c_1(\mathcal{F})^3c_3(\mathcal{F}) + 6c_1(\mathcal{F})c_2(\mathcal{F})c_3(\mathcal{F}) - c_3(\mathcal{F})^2 - 2c_1(\mathcal{F})^2c_4(\mathcal{F}) - 2c_2(\mathcal{F})c_4(\mathcal{F}) - 22c_1(\mathcal{F})c_5(\mathcal{F})$ .

*Proof.* See Out(20), Out(22), Out(24), Out(26), Out(28), Out(30) in [M2]. □

**Lemma A.3.** *Let  $\mathcal{F}$  be a rank 6 vector bundle on a smooth variety  $X$ . Then:*

- (1)  $c_1(\Lambda^2 \mathcal{F}) = 5c_1(\mathcal{F})$ .
- (2)  $c_2(\Lambda^2 \mathcal{F}) = 10c_1(\mathcal{F})^2 + 4c_2(\mathcal{F})$ .
- (3)  $c_3(\Lambda^2 \mathcal{F}) = 10c_1(\mathcal{F})^3 + 16c_1(\mathcal{F})c_2(\mathcal{F}) + 2c_3(\mathcal{F})$ .
- (4)  $c_4(\Lambda^2 \mathcal{F}) = 5c_1(\mathcal{F})^4 + 24c_1(\mathcal{F})^2c_2(\mathcal{F}) + 6c_2(\mathcal{F})^2 + 9c_1(\mathcal{F})c_3(\mathcal{F}) - 2c_4(\mathcal{F})$ .
- (5)  $c_5(\Lambda^2 \mathcal{F}) = c_1(\mathcal{F})^5 + 16c_1(\mathcal{F})^3c_2(\mathcal{F}) + 18c_1(\mathcal{F})c_2(\mathcal{F})^2 + 15c_1(\mathcal{F})^2c_3(\mathcal{F}) + 6c_2(\mathcal{F})c_3(\mathcal{F}) - 4c_1(\mathcal{F})c_4(\mathcal{F}) - 10c_5(\mathcal{F})$ .
- (6)  $c_6(\Lambda^2 \mathcal{F}) = 4c_1(\mathcal{F})^4c_2(\mathcal{F}) + 18c_1(\mathcal{F})^2c_2(\mathcal{F})^2 + 4c_2(\mathcal{F})^3 + 11c_1(\mathcal{F})^3c_3(\mathcal{F}) + 21c_1(\mathcal{F})c_2(\mathcal{F})c_3(\mathcal{F}) - c_1(\mathcal{F})^2c_4(\mathcal{F}) - 2c_2(\mathcal{F})c_4(\mathcal{F}) - 29c_1(\mathcal{F})c_5(\mathcal{F}) - 26c_6(\mathcal{F})$ .
- (7)  $c_7(\Lambda^2 \mathcal{F}) = 6c_1(\mathcal{F})^3c_2(\mathcal{F})^2 + 8c_1(\mathcal{F})c_2(\mathcal{F})^3 + 3c_1(\mathcal{F})^4c_3(\mathcal{F}) + 24c_1(\mathcal{F})^2c_2(\mathcal{F})c_3(\mathcal{F}) + 6c_2(\mathcal{F})^2c_3(\mathcal{F}) + 3c_1(\mathcal{F})c_3(\mathcal{F})^2 + 2c_1(\mathcal{F})^3c_4(\mathcal{F}) + 2c_1(\mathcal{F})c_2(\mathcal{F})c_4(\mathcal{F}) - 6c_3(\mathcal{F})c_4(\mathcal{F}) - 32c_1(\mathcal{F})^2c_5(\mathcal{F}) - 12c_2(\mathcal{F})c_5(\mathcal{F}) - 78c_1(\mathcal{F})c_6(\mathcal{F})$ .
- (8)  $c_8(\Lambda^2 \mathcal{F}) = 4c_1(\mathcal{F})^2c_2(\mathcal{F})^3 + c_2(\mathcal{F})^4 + 9c_1(\mathcal{F})^3c_2(\mathcal{F})c_3(\mathcal{F}) + 15c_1(\mathcal{F})c_2(\mathcal{F})^2c_3(\mathcal{F}) + 6c_1(\mathcal{F})^2c_3(\mathcal{F})^2 + c_1(\mathcal{F})^4c_4(\mathcal{F}) + 8c_1(\mathcal{F})^2c_2(\mathcal{F})c_4(\mathcal{F}) + 2c_2(\mathcal{F})^2c_4(\mathcal{F}) - 8c_1(\mathcal{F})c_3(\mathcal{F})c_4(\mathcal{F}) - 7c_4(\mathcal{F})^2 - 16c_1(\mathcal{F})^3c_5(\mathcal{F}) - 26c_1(\mathcal{F})c_2(\mathcal{F})c_5(\mathcal{F}) - 3c_3(\mathcal{F})c_5(\mathcal{F}) - 94c_1(\mathcal{F})^2c_6(\mathcal{F}) - 24c_2(\mathcal{F})c_6(\mathcal{F})$ .
- (9)  $c_1(\Lambda^3 \mathcal{F}) = 10c_1(\mathcal{F})$ .
- (10)  $c_2(\Lambda^3 \mathcal{F}) = 45c_1(\mathcal{F})^2 + 6c_2(\mathcal{F})$ .
- (11)  $c_3(\Lambda^3 \mathcal{F}) = 120c_1(\mathcal{F})^3 + 54c_1(\mathcal{F})c_2(\mathcal{F})$ .
- (12)  $c_4(\Lambda^3 \mathcal{F}) = 210c_1(\mathcal{F})^4 + 216c_1(\mathcal{F})^2c_2(\mathcal{F}) + 15c_2(\mathcal{F})^2 + 3c_1(\mathcal{F})c_3(\mathcal{F}) - 6c_4(\mathcal{F})$ .
- (13)  $c_5(\Lambda^3 \mathcal{F}) = 252c_1(\mathcal{F})^5 + 504c_1(\mathcal{F})^3c_2(\mathcal{F}) + 120c_1(\mathcal{F})c_2(\mathcal{F})^2 + 24c_1(\mathcal{F})^2c_3(\mathcal{F}) - 48c_1(\mathcal{F})c_4(\mathcal{F})$ .
- (14)  $c_6(\Lambda^3 \mathcal{F}) = 210c_1(\mathcal{F})^6 + 756c_1(\mathcal{F})^4c_2(\mathcal{F}) + 420c_1(\mathcal{F})^2c_2(\mathcal{F})^2 + 20c_2(\mathcal{F})^3 + 84c_1(\mathcal{F})^3c_3(\mathcal{F}) + 15c_1(\mathcal{F})c_2(\mathcal{F})c_3(\mathcal{F}) - 3c_3(\mathcal{F})^2 - 169c_1(\mathcal{F})^2c_4(\mathcal{F}) - 22c_2(\mathcal{F})c_4(\mathcal{F}) - 11c_1(\mathcal{F})c_5(\mathcal{F}) + 66c_6(\mathcal{F})$ .
- (15)  $c_7(\Lambda^3 \mathcal{F}) = 120c_1(\mathcal{F})^7 + 756c_1(\mathcal{F})^5c_2(\mathcal{F}) + 840c_1(\mathcal{F})^3c_2(\mathcal{F})^2 + 140c_1(\mathcal{F})c_2(\mathcal{F})^3 + 168c_1(\mathcal{F})^4c_3(\mathcal{F}) + 105c_1(\mathcal{F})^2c_2(\mathcal{F})c_3(\mathcal{F}) - 21c_1(\mathcal{F})c_3(\mathcal{F})^2 - 343c_1(\mathcal{F})^3c_4(\mathcal{F}) - 154c_1(\mathcal{F})c_2(\mathcal{F})c_4(\mathcal{F}) - 77c_1(\mathcal{F})^2c_5(\mathcal{F}) + 462c_1(\mathcal{F})c_6(\mathcal{F})$ .

$$\begin{aligned}
(16) \quad c_8(\Lambda^3 \mathcal{F}) &= 45c_1(\mathcal{F})^8 + 504c_1(\mathcal{F})^6c_2(\mathcal{F}) + 1050c_1(\mathcal{F})^4c_2(\mathcal{F})^2 + 420c_1(\mathcal{F})^2c_2(\mathcal{F})^3 + 15c_2(\mathcal{F})^4 \\
&+ 210c_1(\mathcal{F})^5c_3(\mathcal{F}) + 315c_1(\mathcal{F})^3c_2(\mathcal{F})c_3(\mathcal{F}) + 30c_1(\mathcal{F})c_2(\mathcal{F})^2c_3(\mathcal{F}) - 60c_1(\mathcal{F})^2c_3(\mathcal{F})^2 \\
&- 12c_2(\mathcal{F})c_3(\mathcal{F})^2 - 441c_1(\mathcal{F})^4c_4(\mathcal{F}) - 465c_1(\mathcal{F})^2c_2(\mathcal{F})c_4(\mathcal{F}) - 28c_2(\mathcal{F})^2c_4(\mathcal{F}) \\
&- 13c_1(\mathcal{F})c_3(\mathcal{F})c_4(\mathcal{F}) + c_4(\mathcal{F})^2 - 234c_1(\mathcal{F})^3c_5(\mathcal{F}) - 47c_1(\mathcal{F})c_2(\mathcal{F})c_5(\mathcal{F}) \\
&+ 36c_3(\mathcal{F})c_5(\mathcal{F}) + 1444c_1(\mathcal{F})^2c_6(\mathcal{F}) + 138c_2(\mathcal{F})c_6(\mathcal{F}).
\end{aligned}$$

*Proof.* See Out(51), Out(53), Out(55), Out(57), Out(59), Out(61), Out(63), Out(65), Out(76), Out(78), Out(80), Out(82), Out(84), Out(86), Out(88), Out(90) in [M3].  $\square$

**Lemma A.4.** *Let  $\mathcal{F}$  be a rank 7 vector bundle on a smooth variety  $X$ . Then,*

$$\begin{aligned}
(1) \quad c_1(\Lambda^2 \mathcal{F}) &= 6c_1(\mathcal{F}). \\
(2) \quad c_2(\Lambda^2 \mathcal{F}) &= 15c_1(\mathcal{F})^2 + 5c_2(\mathcal{F}). \\
(3) \quad c_3(\Lambda^2 \mathcal{F}) &= 20c_1(\mathcal{F})^3 + 25c_1(\mathcal{F})c_2(\mathcal{F}) + 3c_3(\mathcal{F}). \\
(4) \quad c_4(\Lambda^2 \mathcal{F}) &= 15c_1(\mathcal{F})^4 + 50c_1(\mathcal{F})^2c_2(\mathcal{F}) + 10c_2(\mathcal{F})^2 + 16c_1(\mathcal{F})c_3(\mathcal{F}) - c_4(\mathcal{F}). \\
(5) \quad c_5(\Lambda^2 \mathcal{F}) &= 6c_1(\mathcal{F})^5 + 50c_1(\mathcal{F})^3c_2(\mathcal{F}) + 40c_1(\mathcal{F})c_2(\mathcal{F})^2 + 34c_1(\mathcal{F})^2c_3(\mathcal{F}) + 12c_2(\mathcal{F})c_3(\mathcal{F}) \\
&- c_1(\mathcal{F})c_4(\mathcal{F}) - 9c_5(\mathcal{F}). \\
(6) \quad c_6(\Lambda^2 \mathcal{F}) &= c_1(\mathcal{F})^6 + 25c_1(\mathcal{F})^4c_2(\mathcal{F}) + 60c_1(\mathcal{F})^2c_2(\mathcal{F})^2 + 10c_2(\mathcal{F})^3 + 36c_1(\mathcal{F})^3c_3(\mathcal{F}) \\
&+ 52c_1(\mathcal{F})c_2(\mathcal{F})c_3(\mathcal{F}) + 2c_3(\mathcal{F})^2 + 5c_1(\mathcal{F})^2c_4(\mathcal{F}) - 34c_1(\mathcal{F})c_5(\mathcal{F}) - 25c_6(\mathcal{F}). \\
(7) \quad c_7(\Lambda^2 \mathcal{F}) &= 5c_1(\mathcal{F})^5c_2(\mathcal{F}) + 40c_1(\mathcal{F})^3c_2(\mathcal{F})^2 + 30c_1(\mathcal{F})c_2(\mathcal{F})^3 + 19c_1(\mathcal{F})^4c_3(\mathcal{F}) \\
&+ 84c_1(\mathcal{F})^2c_2(\mathcal{F})c_3(\mathcal{F}) + 18c_2(\mathcal{F})^2c_3(\mathcal{F}) + 12c_1(\mathcal{F})c_3(\mathcal{F})^2 + 11c_1(\mathcal{F})^3c_4(\mathcal{F}) \\
&+ 12c_1(\mathcal{F})c_2(\mathcal{F})c_4(\mathcal{F}) - 6c_3(\mathcal{F})c_4(\mathcal{F}) - 51c_1(\mathcal{F})^2c_5(\mathcal{F}) - 18c_2(\mathcal{F})c_5(\mathcal{F}) \\
&- 99c_1(\mathcal{F})c_6(\mathcal{F}) - 57c_7(\mathcal{F}). \\
(8) \quad c_8(\Lambda^2 \mathcal{F}) &= 10c_1(\mathcal{F})^4c_2(\mathcal{F})^2 + 30c_1(\mathcal{F})^2c_2(\mathcal{F})^3 + 5c_2(\mathcal{F})^4 + 4c_1(\mathcal{F})^5c_3(\mathcal{F}) + 60c_1(\mathcal{F})^3c_2(\mathcal{F})c_3(\mathcal{F}) \\
&+ 60c_1(\mathcal{F})c_2(\mathcal{F})^2c_3(\mathcal{F}) + 24c_1(\mathcal{F})^2c_3(\mathcal{F})^2 + 6c_2(\mathcal{F})c_3(\mathcal{F})^2 + 8c_1(\mathcal{F})^4c_4(\mathcal{F}) \\
&+ 33c_1(\mathcal{F})^2c_2(\mathcal{F})c_4(\mathcal{F}) + 6c_2(\mathcal{F})^2c_4(\mathcal{F}) - 9c_1(\mathcal{F})c_3(\mathcal{F})c_4(\mathcal{F}) - 9c_4(\mathcal{F})^2 \\
&- 38c_1(\mathcal{F})^3c_5(\mathcal{F}) - 51c_1(\mathcal{F})c_2(\mathcal{F})c_5(\mathcal{F}) - 11c_3(\mathcal{F})c_5(\mathcal{F}) - 162c_1(\mathcal{F})^2c_6(\mathcal{F}) \\
&- 46c_2(\mathcal{F})c_6(\mathcal{F}) - 228c_1(\mathcal{F})c_7(\mathcal{F}). \\
(9) \quad c_1(\Lambda^3 \mathcal{F}) &= 15c_1(\mathcal{F}). \\
(10) \quad c_2(\Lambda^3 \mathcal{F}) &= 105c_1(\mathcal{F})^2 + 10c_2(\mathcal{F}). \\
(11) \quad c_3(\Lambda^3 \mathcal{F}) &= 455c_1(\mathcal{F})^3 + 140c_1(\mathcal{F})c_2(\mathcal{F}) + 2c_3(\mathcal{F}). \\
(12) \quad c_4(\Lambda^3 \mathcal{F}) &= 1365c_1(\mathcal{F})^4 + 910c_1(\mathcal{F})^2c_2(\mathcal{F}) + 45c_2(\mathcal{F})^2 + 32c_1(\mathcal{F})c_3(\mathcal{F}) - 8c_4(\mathcal{F}). \\
(13) \quad c_5(\Lambda^3 \mathcal{F}) &= 3003c_1(\mathcal{F})^5 + 3640c_1(\mathcal{F})^3c_2(\mathcal{F}) + 585c_1(\mathcal{F})c_2(\mathcal{F})^2 + 234c_1(\mathcal{F})^2c_3(\mathcal{F}) + 18c_2(\mathcal{F})c_3(\mathcal{F}) \\
&- 102c_1(\mathcal{F})c_4(\mathcal{F}) - 10c_5(\mathcal{F}). \\
(14) \quad c_6(\Lambda^3 \mathcal{F}) &= 5005c_1(\mathcal{F})^6 + 10010c_1(\mathcal{F})^4c_2(\mathcal{F}) + 3510c_1(\mathcal{F})^2c_2(\mathcal{F})^2 + 120c_2(\mathcal{F})^3 + 1040c_1(\mathcal{F})^3c_3(\mathcal{F}) \\
&+ 270c_1(\mathcal{F})c_2(\mathcal{F})c_3(\mathcal{F}) - 3c_3(\mathcal{F})^2 - 600c_1(\mathcal{F})^2c_4(\mathcal{F}) - 60c_2(\mathcal{F})c_4(\mathcal{F}) - 140c_1(\mathcal{F})c_5(\mathcal{F}) \\
&+ 40c_6(\mathcal{F}). \\
(15) \quad c_7(\Lambda^3 \mathcal{F}) &= 6435c_1(\mathcal{F})^7 + 20020c_1(\mathcal{F})^5c_2(\mathcal{F}) + 12870c_1(\mathcal{F})^3c_2(\mathcal{F})^2 + 1440c_1(\mathcal{F})c_2(\mathcal{F})^3 \\
&+ 3146c_1(\mathcal{F})^4c_3(\mathcal{F}) + 1836c_1(\mathcal{F})^2c_2(\mathcal{F})c_3(\mathcal{F}) + 72c_2(\mathcal{F})^2c_3(\mathcal{F}) - 27c_1(\mathcal{F})c_3(\mathcal{F})^2 \\
&- 2156c_1(\mathcal{F})^3c_4(\mathcal{F}) - 702c_1(\mathcal{F})c_2(\mathcal{F})c_4(\mathcal{F}) - 18c_3(\mathcal{F})c_4(\mathcal{F}) - 904c_1(\mathcal{F})^2c_5(\mathcal{F}) \\
&- 72c_2(\mathcal{F})c_5(\mathcal{F}) + 454c_1(\mathcal{F})c_6(\mathcal{F}) + 302c_7(\mathcal{F}). \\
(16) \quad c_8(\Lambda^3 \mathcal{F}) &= 6435c_1(\mathcal{F})^8 + 30030c_1(\mathcal{F})^6c_2(\mathcal{F}) + 32175c_1(\mathcal{F})^4c_2(\mathcal{F})^2 + 7920c_1(\mathcal{F})^2c_2(\mathcal{F})^3 \\
&+ 210c_2(\mathcal{F})^4 + 6864c_1(\mathcal{F})^5c_3(\mathcal{F}) + 7524c_1(\mathcal{F})^3c_2(\mathcal{F})c_3(\mathcal{F}) + 1008c_1(\mathcal{F})c_2(\mathcal{F})^2c_3(\mathcal{F}) \\
&- 84c_1(\mathcal{F})^2c_3(\mathcal{F})^2 - 24c_2(\mathcal{F})c_3(\mathcal{F})^2 - 5280c_1(\mathcal{F})^4c_4(\mathcal{F}) - 3759c_1(\mathcal{F})^2c_2(\mathcal{F})c_4(\mathcal{F}) \\
&- 192c_2(\mathcal{F})^2c_4(\mathcal{F}) - 237c_1(\mathcal{F})c_3(\mathcal{F})c_4(\mathcal{F}) + 6c_4(\mathcal{F})^2 - 3570c_1(\mathcal{F})^3c_5(\mathcal{F}) \\
&- 951c_1(\mathcal{F})c_2(\mathcal{F})c_5(\mathcal{F}) + 33c_3(\mathcal{F})c_5(\mathcal{F}) + 2370c_1(\mathcal{F})^2c_6(\mathcal{F}) + 222c_2(\mathcal{F})c_6(\mathcal{F})
\end{aligned}$$



$$+ 3624c_1(\mathcal{F})c_7(\mathcal{F}).$$

*Proof.* See Out(11), Out(13), Out(15), Out(17), Out(19), Out(21), Out(23), Out(25), Out(38), Out(40), Out(42), Out(44), Out(46), Out(48), Out(50), Out(52) in [M4].  $\square$

## APPENDIX B. GENERAL RIEMANN-ROCH CALCULATIONS

We first compute the Todd class of a given variety up to degree 8.

**Lemma B.1.** *Let  $X$  be a smooth irreducible variety and set  $c_i = c_i(X)$ . Then we have:*

$$\begin{aligned} \text{Td}(T_X) &= 1 + \frac{1}{2}c_1 + \frac{1}{12}(c_1^2 + c_2) + \frac{1}{24}c_1c_2 - \frac{1}{720}(c_1^4 - 4c_1^2c_2 - 3c_2^2 - c_1c_3 + c_4) \\ &\quad - \frac{1}{1440}(c_1^3c_2 - 3c_1c_2^2 - c_1^2c_3 + c_1c_4) \\ &\quad + \frac{1}{60480}(2c_1^6 - 12c_1^4c_2 + 11c_1^2c_2^2 + 10c_2^3 + 5c_1^3c_3 + 11c_1c_2c_3 - c_3^2 - 5c_1^2c_4 - 9c_2c_4 - 2c_1c_5 \\ &\quad \quad + 2c_6) \\ &\quad + \frac{1}{120960}(2c_1^5c_2 - 10c_1^3c_2^2 + 10c_1c_2^3 - 2c_1^4c_3 + 11c_1^2c_2c_3 - c_1c_3^2 + 2c_1^3c_4 - 9c_1c_2c_4 - 2c_1^2c_5 \\ &\quad \quad + 2c_1c_6) \\ &\quad - \frac{1}{3628800}(3c_1^8 - 24c_1^6c_2 + 50c_1^4c_2^2 - 8c_1^2c_2^3 - 21c_2^4 + 14c_1^5c_3 - 26c_1^3c_2c_3 - 50c_1c_2^2c_3 - 3c_1^2c_3^2 \\ &\quad \quad + 8c_2c_3^2 - 14c_1^4c_4 + 19c_1^2c_2c_4 + 34c_2^2c_4 + 13c_1c_3c_4 - 5c_4^2 + 7c_1^3c_5 + 16c_1c_2c_5 \\ &\quad \quad - 3c_3c_5 - 7c_1^2c_6 - 13c_2c_6 - 3c_1c_7 + 3c_8) + \dots \end{aligned}$$

*Proof.* See [M3, Out(40)].  $\square$

Next, we compute the Chern character of a vector bundle, up to degree 8.

**Lemma B.2.** *Let  $X$  be a smooth irreducible variety, let  $\mathcal{F}$  be a rank  $r$  vector bundle on  $X$  and set  $d_i = c_i(\mathcal{F})$ . Then we have:*

$$\begin{aligned} \text{Ch}(\mathcal{F}) &= r + d_1 + \frac{1}{2}(d_1^2 - 2d_2) + \frac{1}{6}(d_1^3 - 3d_1d_2 + 3d_3) + \frac{1}{24}(d_1^4 - 4d_1^2d_2 + 4d_1d_3 + 2d_2^2 - 4d_4) \\ &\quad + \frac{1}{120}(d_1^5 - 5d_1^3d_2 + 5d_1d_2^2 + 5d_1^2d_3 - 5d_2d_3 - 5d_1d_4 + 5d_5) \\ &\quad + \frac{1}{720}(d_1^6 - 6d_1^4d_2 + 9d_1^2d_2^2 - 2d_2^3 + 6d_1^3d_3 - 12d_1d_2d_3 + 3d_3^2 - 6d_1^2d_4 + 6d_2d_4 + 6d_1d_5 - 6d_6) \\ &\quad + \frac{1}{5040}(d_1^7 - 7d_1^5d_2 + 14d_1^3d_2^2 - 7d_1d_2^3 + 7d_1^4d_3 - 21d_1^2d_2d_3 + 7d_2^2d_3 + 7d_1d_3^2 - 7d_1^3d_4 \\ &\quad \quad + 14d_1d_2d_4 - 7d_3d_4 + 7d_1^2d_5 - 7d_2d_5 - 7d_1d_6 + 7d_7) \\ &\quad + \frac{1}{40320}(d_1^8 - 8d_1^6d_2 + 20d_1^4d_2^2 - 16d_1^2d_2^3 + 2d_2^4 + 8d_1^5d_3 - 32d_1^3d_2d_3 + 24d_1d_2^2d_3 + 12d_1^2d_3^2 \\ &\quad \quad - 8d_2d_3^2 - 8d_1^4d_4 + 24d_1^2d_2d_4 - 8d_2^2d_4 - 16d_1d_3d_4 + 4d_4^2 + 8d_1^3d_5 - 16d_1d_2d_5 + 8d_3d_5 \\ &\quad \quad - 8d_1^2d_6 + 8d_2d_6 + 8d_1d_7 - 8d_8) + \dots \end{aligned}$$

*Proof.* See [M3, Out(103)].  $\square$

**Lemma B.3.** *Let  $X$  be a smooth irreducible variety of dimension 6 and let  $\mathcal{F}$  be a rank 6 vector bundle on  $X$ . Set  $c_i = c_i(X)$  and  $d_i = c_i(\mathcal{F})$ . Then we have:*

$$\begin{aligned} \chi(\mathcal{F}) &= \frac{1}{10080}(2c_1^6 - 2c_1c_5 + 2c_6 + 11c_1^2c_2^2 + 11c_1c_2c_3 - c_3^2) - \frac{1}{840}c_1^4c_2 + \frac{1}{2016}(2c_2^3 + c_1^3c_3 - c_1^2c_4) \\ &\quad - \frac{1}{1120}c_2c_4 - \frac{1}{1440}(c_1^3c_2d_1 - c_1^2c_3d_1 + c_1c_4d_1 + c_1^4d_1^2 - c_1c_3d_1^2 + c_4d_1^2) \\ &\quad + \frac{1}{480}(c_1c_2^2d_1 + c_2^2d_1^2 + 2c_1d_1^5 - 2c_2^2d_2 + 2d_3^2) + \frac{1}{288}(2c_1c_2d_1^3 + c_1^2d_1^4 + c_2d_1^4 + 2c_1^2d_2^2 + 2c_2d_2^2) \end{aligned}$$

$$\begin{aligned}
& + \frac{1}{720}(d_1^6 - 2d_2^3 + c_1^4 d_2 - c_1 c_3 d_2 + c_4 d_2 - 4c_1^2 c_2 d_2 + 2c_1^2 c_2 d_1^2) \\
& - \frac{1}{48}(c_1 c_2 d_1 d_2 + c_1 d_1^3 d_2 - c_1 d_1 d_2^2 - c_1 c_2 d_3 - c_1 d_1^2 d_3 + c_1 d_2 d_3 + c_1 d_1 d_4 - c_1 d_5) \\
& + \frac{1}{72}(-c_1^2 d_1^2 d_2 - c_2 d_1^2 d_2 + c_1^2 d_1 d_3 + c_2 d_1 d_3 - c_1^2 d_4 - c_2 d_4) \\
& + \frac{1}{80}d_1^2 d_2^2 - \frac{1}{120}(2d_1 d_2 d_3 + d_1^4 d_2 - d_1^3 d_3 + d_1^2 d_4 - d_2 d_4 - d_1 d_5 + d_6).
\end{aligned}$$

*Proof.* Follows from Lemmas B.2, B.1 and Riemann-Roch (see [M1, Out(70)]).  $\square$

**Lemma B.4.** *Let  $X$  be a smooth irreducible variety of dimension 6 and let  $\mathcal{F}$  be a rank 10 vector bundle on  $X$ . Set  $c_i = c_i(X)$  and  $d_i = c_i(\mathcal{F})$ . Then we have:*

$$\begin{aligned}
\chi(\mathcal{F}) &= \frac{1}{120}(d_1 d_5 - d_6 - d_1^2 d_4 + d_2 d_4 + d_1^3 d_3 - d_1^4 d_2) \\
& + \frac{1}{48}(-c_1 d_1 d_4 + c_1 d_5 - c_1 d_2 d_3 + c_1 d_1^2 d_3 + c_1 c_2 d_3 + c_1 d_1 d_2^2 - c_1 d_1^3 d_2 - c_1 c_2 d_1 d_2) \\
& + \frac{1}{72}(-c_1^2 d_4 - c_2 d_4 + c_2 d_1 d_3 + c_1^2 d_1 d_3 - c_2 d_1^2 d_2 - c_1^2 d_1^2 d_2) \\
& + \frac{1}{240}(d_3^2 - c_2^2 d_2 + c_1 d_1^5) - \frac{1}{60}d_1 d_2 d_3 + \frac{1}{360}(-d_2^3 + c_1^2 c_2 d_1^2) + \frac{1}{80}d_1^2 d_2^2 \\
& + \frac{1}{144}(c_1^2 d_2^2 + c_2 d_2^2 + c_1 c_2 d_1^3) + \frac{1}{720}(-c_1 c_3 d_2 + c_4 d_2 + d_1^6 + c_1^4 d_2) \\
& - \frac{1}{180}c_1^2 c_2 d_2 + \frac{1}{288}(c_1^2 d_1^4 + c_2 d_1^4) + \frac{1}{1440}(c_1 c_3 d_1^2 - c_4 d_1^2 + c_1^2 c_3 d_1 - c_1 c_4 d_1 - c_1^4 d_1^2 - c_1^3 c_2 d_1) \\
& + \frac{1}{480}(c_2^2 d_1^2 + c_1 c_2^2 d_1) + \frac{1}{3024}(c_1^6 + 5c_2^3 - c_1 c_5 + c_6) - \frac{1}{672}c_2 c_4 \\
& + \frac{1}{6048}(5c_1^3 c_3 + 11c_1 c_2 c_3 - c_3^2 - 5c_1^2 c_4 + 11c_1^2 c_2^2) - \frac{1}{504}c_1^4 c_2
\end{aligned}$$

*Proof.* Follows from Lemmas B.2, B.1 and Riemann-Roch (see [M2, Out(10)]).  $\square$

**Lemma B.5.** *Let  $X$  be a smooth irreducible variety of dimension 6 and let  $\mathcal{F}$  be a rank 4 vector bundle on  $X$ . Set  $c_i = c_i(X)$  and  $f_i = c_i(\mathcal{F})$ . Then we have:*

$$\begin{aligned}
\chi((\Lambda^2 \mathcal{F})(t)) &= \frac{H^6}{120}t^6 + \frac{H^5}{40}(c_1 + f_1)t^5 + \frac{H^4}{48}(c_1^2 + c_2 + 3c_1 f_1 + 3f_1^2 - 4f_2)t^4 \\
& + \frac{H^3}{24}(c_1 c_2 + c_1^2 f_1 + c_2 f_1 + 3c_1 f_1^2 + 2f_1^3 - 4c_1 f_2 - 4f_1 f_2)t^3 \\
& + \frac{H^2}{240}(-c_1^4 + 4c_1^2 c_2 + 3c_2^2 + c_1 c_3 - c_4 + 15c_1 c_2 f_1 + 15c_1^2 f_1^2 + 15c_2 f_1^2 + 30c_1 f_1^3 + 15f_1^4 \\
& \quad - 20c_1^2 f_2 - 20c_2 f_2 - 60c_1 f_1 f_2 - 40f_1^2 f_2 + 20f_2^2 - 20f_1 f_3 + 80f_4)t^2 \\
& + \frac{H}{240}(-c_1^3 c_2 + 3c_1 c_2^2 + c_1^2 c_3 - c_1 c_4 - c_1^4 f_1 + 4c_1^2 c_2 f_1 + 3c_2^2 f_1 + c_1 c_3 f_1 - c_4 f_1 + 15c_1 c_2 f_1^2 \\
& \quad + 10c_1^2 f_1^3 + 10c_2 f_1^3 + 15c_1 f_1^4 + 6f_1^5 - 20c_1 c_2 f_2 - 20c_1^2 f_1 f_2 - 20c_2 f_1 f_2 - 40c_1 f_1^2 f_2 \\
& \quad - 20f_1^3 f_2 + 20c_1 f_2^2 + 20f_1 f_2^2 - 20c_1 f_1 f_3 - 20f_1^2 f_3 + 80c_1 f_4 + 80f_1 f_4)t \\
& + \frac{1}{10080}(2c_1^6 - 12c_1^4 c_2 + 11c_1^2 c_2^2 + 10c_2^3 + 5c_1^3 c_3 + 11c_1 c_2 c_3 - c_3^2 - 5c_1^2 c_4 - 9c_2 c_4 - 2c_1 c_5 + 2c_6 \\
& \quad - 21c_1^3 c_2 f_1 + 63c_1 c_2^2 f_1 + 21c_1^2 c_3 f_1 - 21c_1 c_4 f_1 - 21c_1^4 f_1^2 + 84c_1^2 c_2 f_1^2 + 63c_2^2 f_1^2 + 21c_1 c_3 f_1^2 \\
& \quad - 21c_4 f_1^2 + 210c_1 c_2 f_1^3 + 105c_1^2 f_1^4 + 105c_2 f_1^4 + 126c_1 f_1^5 + 42f_1^6 + 28c_1^4 f_2 - 112c_1^2 c_2 f_2 \\
& \quad - 84c_2^2 f_2 - 28c_1 c_3 f_2 + 28c_4 f_2 - 420c_1 c_2 f_1 f_2 - 280c_1^2 f_1^2 f_2 - 280c_2 f_1^2 f_2 - 420c_1 f_1^3 f_2 \\
& \quad - 168f_1^4 f_2 + 140c_1^2 f_2^2 + 140c_2 f_2^2 + 420c_1 f_1 f_2^2 + 252f_1^2 f_2^2 - 56f_2^3 - 140c_1^2 f_1 f_3 \\
& \quad - 140c_2 f_1 f_3 - 420c_1 f_1^2 f_3 - 252f_1^3 f_3 + 84f_1 f_2 f_3 + 84f_2^2 + 560c_1^2 f_4 + 560c_2 f_4 \\
& \quad + 1680c_1 f_1 f_4 + 1092f_1^2 f_4 - 672f_2 f_4).
\end{aligned}$$

*Proof.* Follows from Lemma B.3 (see [M1, Out(91)]).  $\square$

**Lemma B.6.** *Let  $X$  be a smooth irreducible variety of dimension 6 and let  $\mathcal{F}$  be a rank 5 vector bundle on  $X$ . Set  $c_i = c_i(X)$  and  $f_i = c_i(\mathcal{F})$ . Then we have:*

$$\begin{aligned} \chi((\Lambda^2 \mathcal{F})(t)) &= \frac{H^6}{72} t^6 + \frac{H^5}{120} (5c_1 + 4f_1)t^5 + \frac{H^4}{144} (5c_1^2 + 5c_2 + 12c_1f_1 + 12f_1^2 - 18f_2)t^4 \\ &+ \frac{H^3}{72} (5c_1c_2 + 4c_1^2f_1 + 4c_2f_1 + 12c_1f_1^2 + 8f_1^3 - 18c_1f_2 - 18f_1f_2 + 6f_3)t^3 \\ &+ \frac{H^2}{144} (-c_1^4 + 4c_1^2c_2 + 3c_2^2 + c_1c_3 - c_4 + 12c_1c_2f_1 + 12c_1^2f_1^2 + 12c_2f_1^2 + 24c_1f_1^3 + 12f_1^4 - 18c_1^2f_2 \\ &\quad - 18c_2f_2 - 54c_1f_1f_2 - 36f_1^2f_2 + 18f_2^2 + 18c_1f_3 + 36f_4)t^2 \\ &+ \frac{H}{720} (-5c_1^3c_2 + 15c_1c_2^2 + 5c_1^2c_3 - 5c_1c_4 - 4c_1^4f_1 + 16c_1^2c_2f_1 + 12c_2^2f_1 + 4c_1c_3f_1 - 4c_4f_1 \\ &\quad + 60c_1c_2f_1^2 + 40c_1^2f_1^3 + 40c_2f_1^3 + 60c_1f_1^4 + 24f_1^5 - 90c_1c_2f_2 - 90c_1^2f_1f_2 - 90c_2f_1f_2 \\ &\quad - 180c_1f_1^2f_2 - 90f_1^3f_2 + 90c_1f_2^2 + 90f_1f_2^2 + 30c_1^2f_3 + 30c_2f_3 - 30f_1^2f_3 - 30f_2f_3 \\ &\quad + 180c_1f_4 + 210f_1f_4 - 330f_5)t \\ &+ \frac{1}{30240} (10c_1^6 - 60c_1^4c_2 + 55c_1^2c_2^2 + 50c_2^3 + 25c_1^3c_3 + 55c_1c_2c_3 - 5c_3^2 - 25c_1^2c_4 - 45c_2c_4 - 10c_1c_5 \\ &\quad + 10c_6 - 84c_1^3c_2f_1 + 252c_1c_2^2f_1 + 84c_1^2c_3f_1 - 84c_1c_4f_1 - 84c_1^4f_1^2 + 336c_1^2c_2f_1^2 + 252c_2^2f_1^2 \\ &\quad + 84c_1c_3f_1^2 - 84c_4f_1^2 + 840c_1c_2f_1^3 + 420c_1^2f_1^4 + 420c_2f_1^4 + 504c_1f_1^5 + 168f_1^6 + 126c_1^4f_2 \\ &\quad - 504c_1^2c_2f_2 - 378c_2^2f_2 - 126c_1c_3f_2 + 126c_4f_2 - 1890c_1c_2f_1f_2 - 1260c_1^2f_1^2f_2 \\ &\quad - 1260c_2f_1^2f_2 - 1890c_1f_1^3f_2 - 756f_1^4f_2 + 630c_1^2f_2^2 + 630c_2f_2^2 + 1890c_1f_1f_2^2 + 1134f_1^2f_2^2 \\ &\quad - 252f_2^3 + 630c_1c_2f_3 - 630c_1f_1^2f_3 - 504f_1^3f_3 - 630c_1f_2f_3 - 252f_1f_2f_3 + 378f_3^2 \\ &\quad + 1260c_1^2f_4 + 1260c_2f_4 + 4410c_1f_1f_4 + 3024f_1^2f_4 - 1764f_2f_4 - 6930c_1f_5 - 5544f_1f_5). \end{aligned}$$

*Proof.* Follows from Lemma B.4 (see [M2, Out(31)]).  $\square$

### APPENDIX C. RIEMANN-ROCH CALCULATIONS ON HYPERSURFACES

We now perform the necessary calculations on hypersurfaces used in the proof of Theorem 1.

**Lemma C.1.** *Let  $\mathcal{E}$  be an Ulrich bundle of rank 4 on a smooth hypersurface  $X \subset \mathbb{P}^7$  of degree  $d$ . Then the following holds:*

$$\begin{aligned} (1) \chi((\Lambda^2 \mathcal{E})(m - 2d + 2)) &= \frac{d}{120} m^6 - \frac{d}{40} (-10 + 3d)m^5 + \frac{5d}{72} (44 - 27d + 4d^2)m^4 \\ &\quad - \frac{d}{72} (-1400 + 1320d - 400d^2 + 39d^3)m^3 \\ &\quad + \frac{d}{360} (24419 - 31500d + 14670d^2 - 2925d^3 + 208d^4)m^2 \\ &\quad - \frac{d}{360} (-44190 + 73257d - 46700d^2 + 14310d^3 - 2080d^4 + 111d^5)m \\ &\quad + \frac{d}{340200} (30562169 - 62639325d + 51356676d^2 - 21546000d^3 \\ &\quad \quad + 4812171d^4 - 524475d^5 + 19984d^6). \end{aligned}$$

*Proof.* (1) is obtained by Lemma B.5, replacing  $t = m - 2d + 2$ , the values of  $c_i$  given in Lemma 5.3 and of  $f_i$  given in Lemma 5.4 (see [M1, Out(100)]).  $\square$

**Lemma C.2.** *Let  $\mathcal{E}$  be an Ulrich bundle of rank 5 on a smooth hypersurface  $X \subset \mathbb{P}^7$  of degree  $d$ . Then the following hold:*

$$(1) \chi((\Lambda^2 \mathcal{E})(m - \frac{5}{2}(d - 1))) = \frac{d}{72} m^6 - \frac{d}{24} (-11 + 4d)m^5 + \frac{5d}{576} (713 - 528d + 95d^2)m^4$$

$$\begin{aligned}
& -\frac{5d}{288}(-2519 + 2852d - 1045d^2 + 124d^3)m^3 \\
& + \frac{d}{576}(98122 - 151140d + 84675d^2 - 20460d^3 + 1795d^4)m^2 \\
& - \frac{d}{576}(-199551 + 392488d - 299200d^2 + 110540d^3 - 19745d^4 + 1356d^5)m \\
& + \frac{d}{1548288}(444410639 - 1072786176d + 1044516123d^2 - 525127680d^3 \\
& \quad + 143409693d^4 - 20047104d^5 + 1107385d^6). \\
(2) \quad \chi((\Lambda^3 \mathcal{E})(m - \frac{5}{2}(d-1))) &= \frac{d}{72}m^6 - \frac{d}{24}(-10 + 3d)m^5 + \frac{5d}{576}(587 - 360d + 53d^2)m^4 \\
& - \frac{5d}{288}(-10 + 3d)(187 - 120d + 17d^2)m^3 \\
& + \frac{d}{576}(65362 - 84150d + 38895d^2 - 7650d^3 + 535d^4)m^2 \\
& - \frac{d}{288}(-10 + 3d)(5931 - 8025d + 3790d^2 - 735d^3 + 47d^4)m \\
& + \frac{d}{1548288}(234265319 - 478275840d + 388398675d^2 - 160473600d^3 \\
& \quad + 35211813d^4 - 3790080d^5 + 146593d^6).
\end{aligned}$$

*Proof.* (1) is obtained by Lemma B.6, replacing  $t = m - \frac{5}{2}(d-1)$ , the values of  $c_i$  given in Lemma 5.3 and of  $f_i$  given in Lemma 5.4 (see [M2, Out(49)]). (2) is obtained similarly from Lemma B.6 using the fact that  $\chi((\Lambda^3 \mathcal{E})(t)) = \chi((\Lambda^2 \mathcal{E}^*)(t + \frac{5}{2}(d-1)))$  (see [M2, Out(50)]).  $\square$

**Lemma C.3.** *Let  $\mathcal{E}$  be an Ulrich bundle of rank 6 on a smooth hypersurface  $X \subset \mathbb{P}^9$  of degree  $d$ . Then the following hold:*

$$\begin{aligned}
(1) \quad \chi(\Lambda^2 \mathcal{E}(m - 3d + 3)) &= \frac{d}{2688}m^8 - \frac{d}{672}(-14 + 5d)m^7 + \frac{d}{960}(483 - 350d + 62d^2)m^6 \\
& - \frac{d}{960}(-14 + 5d)(469 - 350d + 61d^2)m^5 \\
& + \frac{d}{1920}(109837 - 164150d + 89840d^2 - 21350d^3 + 1858d^4)m^4 \\
& - \frac{d}{960}(-14 + 5d)(20657 - 31850d + 17705d^2 - 4200d^3 + 358d^4)m^3 \\
& + \frac{d}{6720}(6549514 - 15182895d + 14302806d^2 - 7010675d^3 + 1884820d^4 - 263130d^5 + 14870d^6)m^2 \\
& - \frac{d}{13440}(-14 + 5d)(1699080 - 4071410d + 3926321d^2 - 1949220d^3 + 524314d^4 - 72170d^5 \\
& \quad + 3965d^6)m \\
& + \frac{d}{169344000}(233706519541 - 749294280000d + 1023683569750d^2 - 778550661000d^3 \\
& \quad + 360297139573d^4 - 103729374000d^5 + 18104141400d^6 - 1748565000d^7 \\
& \quad + 71669736d^8). \\
(2) \quad \chi(\Lambda^3 \mathcal{E}(m - 3d + 3)) &= \frac{d}{2016}m^8 - \frac{d}{504}(-13 + 4d)m^7 + \frac{d}{2160}(1247 - 780d + 118d^2)m^6 \\
& - \frac{d}{360}(-13 + 4d)(201 - 130d + 19d^2)m^5 \\
& + \frac{d}{4320}(241996 - 313560d + 147155d^2 - 29640d^3 + 2154d^4)m^4 \\
& - \frac{d}{2160}(-13 + 4d)(45111 - 60580d + 28805d^2 - 5720d^3 + 394d^4)m^3
\end{aligned}$$

$$\begin{aligned}
& + \frac{d}{30240}(24379978 - 49261212d + 39993401d^2 - 16691220d^3 + 3762129d^4 - 430248d^5 \\
& \quad + 19032d^6)m^2 \\
& - \frac{d}{30240}(-13 + 4d)(3116229 - 6542692d + 5444216d^2 - 2290964d^3 + 509035d^4 \\
& \quad - 55224d^5 + 2040d^6)m \\
& + \frac{d}{508032000}(483969803049 - 1361168827200d + 1612701345950d^2 - 1050469056000d^3 \\
& \quad + 409833928497d^4 - 97149124800d^5 + 13318661400d^6 - 891072000d^7 \\
& \quad + 14981104d^8). \\
(3) \quad & \chi(\Lambda^4\mathcal{E}(m - 3d + 3)) = \frac{d}{2688}m^8 - \frac{d}{224}(-4 + d)m^7 + \frac{d}{960}(353 - 180d + 22d^2)m^6 \\
& - \frac{3d}{320}(-4 + d)(113 - 60d + 7d^2)m^5 + \frac{d}{1920}(57317 - 61020d + 23300d^2 - 3780d^3 + 218d^4)m^4 \\
& - \frac{d}{320}(-4 + d)(10517 - 11700d + 4535d^2 - 720d^3 + 38d^4)m^3 \\
& + \frac{d}{3360}(1185579 - 1987713d + 1324764d^2 - 448875d^3 + 80843d^4 - 7182d^5 + 239d^6)m^2 \\
& - \frac{d}{4480}(-4 + d)(590076 - 1038060d + 713205d^2 - 243720d^3 + 42698d^4 - 3420d^5 + 101d^6)m \\
& + \frac{d}{169344000}(56633150341 - 133829236800d + 131659211350d^2 - 70341793200d^3 \\
& \quad + 22114878373d^4 - 4099183200d^5 + 425383800d^6 - 22906800d^7 + 656136d^8).
\end{aligned}$$

*Proof.* (1) is obtained by the expression of  $\chi((\Lambda^2\mathcal{F})(t))$  for a rank 6 bundle  $\mathcal{F}$  (see [M3, Out(111)]), replacing  $t = m - 3(d - 1)$ , the values of  $c_i$  given in Lemma 5.3 and of  $f_i$  given in Lemma 5.4 (see [M3, Out(138)]). (2) is obtained by the expression of  $\chi((\Lambda^3\mathcal{F})(t))$  for a rank 6 bundle  $\mathcal{F}$  (see [M3, Out(112)]), replacing  $t = m - 3(d - 1)$ , the values of  $c_i$  given in Lemma 5.3 and of  $f_i$  given in Lemma 5.4 (see [M3, Out(139)]). (3) is obtained using the expression of  $\chi((\Lambda^2\mathcal{F})(t))$  and the fact that  $\chi((\Lambda^4\mathcal{E})(t) = \chi((\Lambda^2\mathcal{E}^*)(t + 3(d - 1)))$  (see [M3, Out(140)]).  $\square$

**Lemma C.4.** *Let  $\mathcal{E}$  be an Ulrich bundle of rank 7 on a smooth hypersurface  $X \subset \mathbb{P}^9$  of degree  $d$ . Then the following hold:*

$$\begin{aligned}
(1) \quad & \chi((\Lambda^2\mathcal{E})(m - \frac{7}{2}(d - 1))) = \frac{d}{1920}m^8 - \frac{d}{160}(-5 + 2d)m^7 + \frac{7d}{8640}(-20 + 7d)(-50 + 23d)m^6 \\
& - \frac{7d}{960}(-5 + 2d)(325 - 270d + 53d^2)m^5 \\
& + \frac{7d}{138240}(2110467 - 3510000d + 2146690d^2 - 572400d^3 + 56147d^4)m^4 \\
& - \frac{7d}{23040}(-5 + 2d)(400467 - 684000d + 424090d^2 - 113040d^3 + 10931d^4)m^3 \\
& + \frac{d}{138240}(294927561 - 756882630d + 792886542d^2 - 434114100d^3 + 131018209d^4 \\
& \quad - 20659590d^5 + 1328936d^6)m^2 \\
& - \frac{d}{23040}(-5 + 2d)(19408518 - 51222105d + 54741054d^2 - 30313350d^3 + 9168002d^4 \\
& \quad - 1434465d^5 + 90682d^6)m \\
& + \frac{d}{143327232000}(513397845100961 - 1811047631616000d + 2735536296233740d^2 \\
& \quad - 2311436590848000d^3 + 1194935635595478d^4 - 386871738624000d^5 \\
& \quad + 76557801497260d^6 - 8461718784000d^7 + 399973316561d^8).
\end{aligned}$$

$$\begin{aligned}
(2) \quad & \chi((\Lambda^3 \mathcal{E})(m - \frac{7}{2}(d-1))) = \frac{d}{1152}m^8 - \frac{d}{288}(-14 + 5d)m^7 + \frac{7d}{1728}(290 - 210d + 37d^2)m^6 \\
& - \frac{7d}{288}(-14 + 5d)(47 - 35d + 6d^2)m^5 \\
& + \frac{7d}{138240}(2647681 - 3948000d + 2146070d^2 - 504000d^3 + 43089d^4)m^4 \\
& - \frac{7d}{69120}(-14 + 5d)(499521 - 767200d + 421670d^2 - 98000d^3 + 8089d^4)m^3 \\
& + \frac{d}{414720}(954207685 - 2202887610d + 2057673702d^2 - 995204700d^3 + 262468605d^4 \\
& \quad - 35672490d^5 + 1939448d^6)m^2 \\
& - \frac{d}{414720}(-14 + 5d)(124417969 - 296353470d + 282424737d^2 - 137577300d^3 + 35979531d^4 \\
& \quad - 4750830d^5 + 242723d^6)m \\
& + \frac{d}{8957952000}(29526126063793 - 94059984564000d + 127169755078220d^2 - 95268653172000d^3 + \\
& \quad 43183463113014d^4 - 12086573436000d^5 + 2026225100780d^6 - 183498588000d^7 \\
& \quad + 6668724193d^8). \\
(3) \quad & \chi((\Lambda^4 \mathcal{E})(m - \frac{7}{2}(d-1))) = \frac{d}{1152}m^8 - \frac{d}{288}(-13 + 4d)m^7 + \frac{7d}{3456}(499 - 312d + 47d^2)m^6 \\
& - \frac{7d}{1152}(-7 + 3d)(-13 + 4d)(-23 + 5d)m^5 \\
& + \frac{7d}{34560}(485239 - 627900d + 293180d^2 - 58500d^3 + 4191d^4)m^4 \\
& - \frac{7d}{17280}(-13 + 4d)(90624 - 121420d + 57245d^2 - 11180d^3 + 751d^4)m^3 \\
& + \frac{d}{51840}(73648124 - 148442112d + 119778477d^2 - 49475790d^3 + 10983966d^4 - 1230138d^5 \\
& \quad + 53053d^6)m^2 \\
& - \frac{d}{103680}(-13 + 4d)(18886837 - 39510588d + 32595240d^2 - 13514280d^3 + 2935833d^4 - 308412d^5 \\
& \quad + 11210d^6)m \\
& + \frac{d}{4478976000}(7572278446559 - 21213695318400d + 24947874489460d^2 - 16064770176000d^3 \\
& \quad + 6166188349482d^4 - 1429690953600d^5 + 190927651540d^6 - 12591072000d^7 \\
& \quad + 242742959d^8). \\
(4) \quad & \chi((\Lambda^5 \mathcal{E})(m - \frac{7}{2}(d-1))) = \frac{d}{1920}m^8 - \frac{d}{160}(-4 + d)m^7 + \frac{7d}{17280}(1271 - 648d + 79d^2)m^6 \\
& - \frac{7d}{1920}(-4 + d)(407 - 216d + 25d^2)m^5 \\
& + \frac{7d}{34560}(206553 - 219780d + 83680d^2 - 13500d^3 + 773d^4)m^4 \\
& - \frac{7d}{5760}(-4 + d)(37929 - 42156d + 16261d^2 - 2556d^3 + 134d^4)m^3 \\
& + \frac{d}{17280}(8560242 - 14337162d + 9522975d^2 - 3207330d^3 + 573356d^4 - 50652d^5 + 1687d^6)m^2 \\
& - \frac{d}{11520}(-4 + d)(2133108 - 3746844d + 2561847d^2 - 867816d^3 + 150574d^4 - 12060d^5 \\
& \quad + 359d^6)m
\end{aligned}$$

$$\begin{aligned}
& + \frac{d}{143327232000} (67498793060561 - 159235658956800d + 156004224862540d^2 \\
& \quad - 82788720537600d^3 + 25821414047478d^4 - 4758314803200d^5 \\
& \quad + 494189940460d^6 - 26799206400d^7 + 743464961d^8).
\end{aligned}$$

*Proof.* See Out(108)-Out(111) in [M4]. □

#### REFERENCES

- [M1] Mathematica code for  $r = 4$ . [9](#), [14](#), [17](#), [18](#)  
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- [M4] Mathematica code for  $r = 7$ . [7](#), [11](#), [12](#), [16](#), [22](#)  
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